

TUM

# ***Modeling with UML***

**Bernd Brügge**

Technische Universität München  
Lehrstuhl für Angewandte Softwaretechnik

<http://www.bruegge.in.tum.de>

26 October 2001

# ***Overview: modeling with UML***

- ❖ What is modeling?
- ❖ What is UML?
- ❖ Use case diagrams
- ❖ Class diagrams

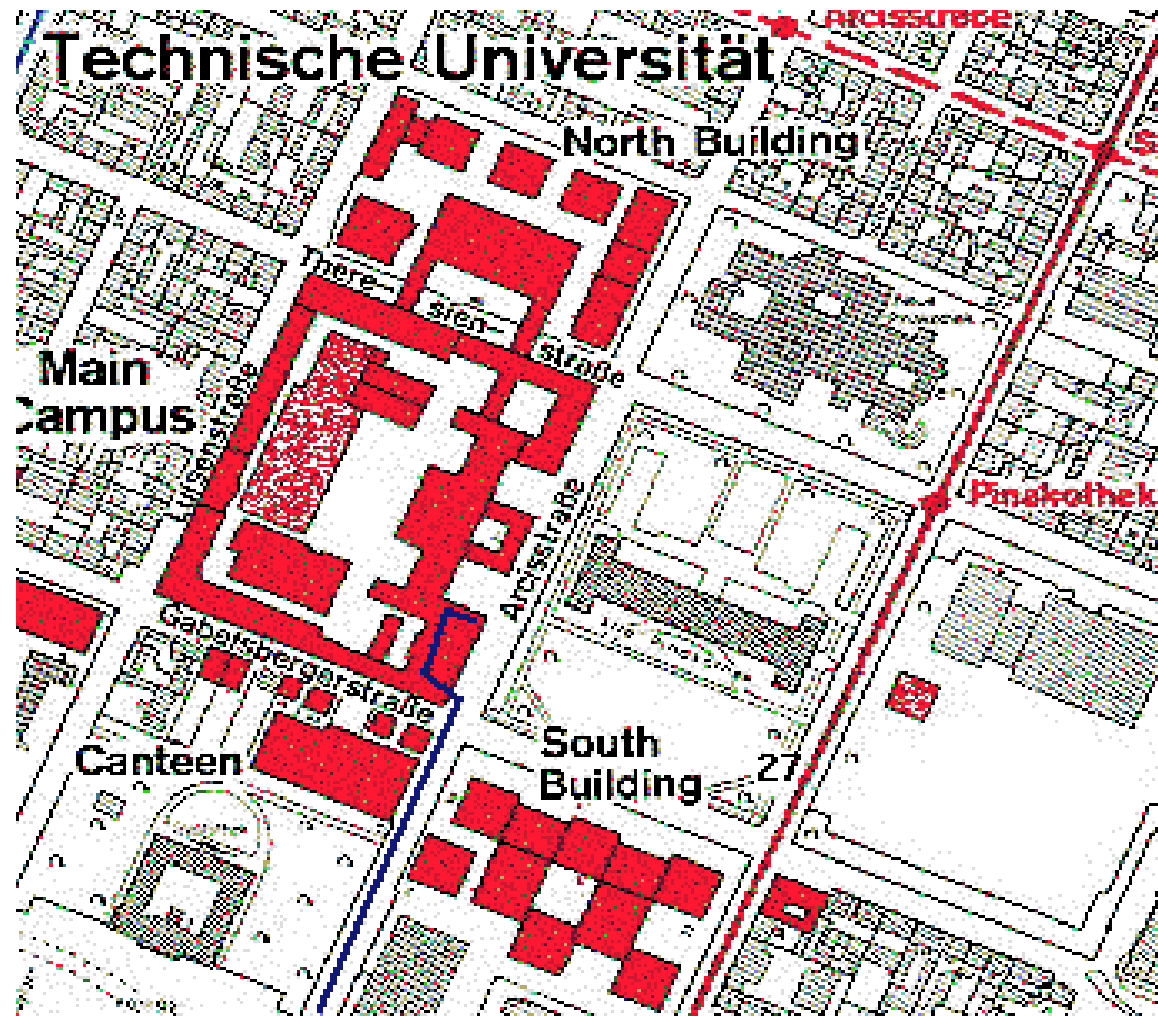
Next time (November 2, 2001):

- ❖ Sequence diagrams
- ❖ Activity diagrams
- ❖ Questions?

# ***What is modeling?***

- ❖ Modeling consists of building an abstraction of reality.
- ❖ Abstractions are simplifications because:
  - ◆ They ignore irrelevant details and
  - ◆ They only represent the relevant details.
- ❖ What is *relevant* or *irrelevant* depends on the purpose of the model.

# Example: street map



# ***Why model software?***

## **Why model software?**

- ❖ **Software is getting increasingly more complex**
  - ◆ **Windows 2000 ~ 40 mio lines of code**
  - ◆ **A single programmer cannot manage this amount of code in its entirety.**
- ❖ **Code is not easily understandable by developers who did not write it**
- ❖ **We need simpler representations for complex systems**
  - ◆ **Modeling is a mean for dealing with complexity**

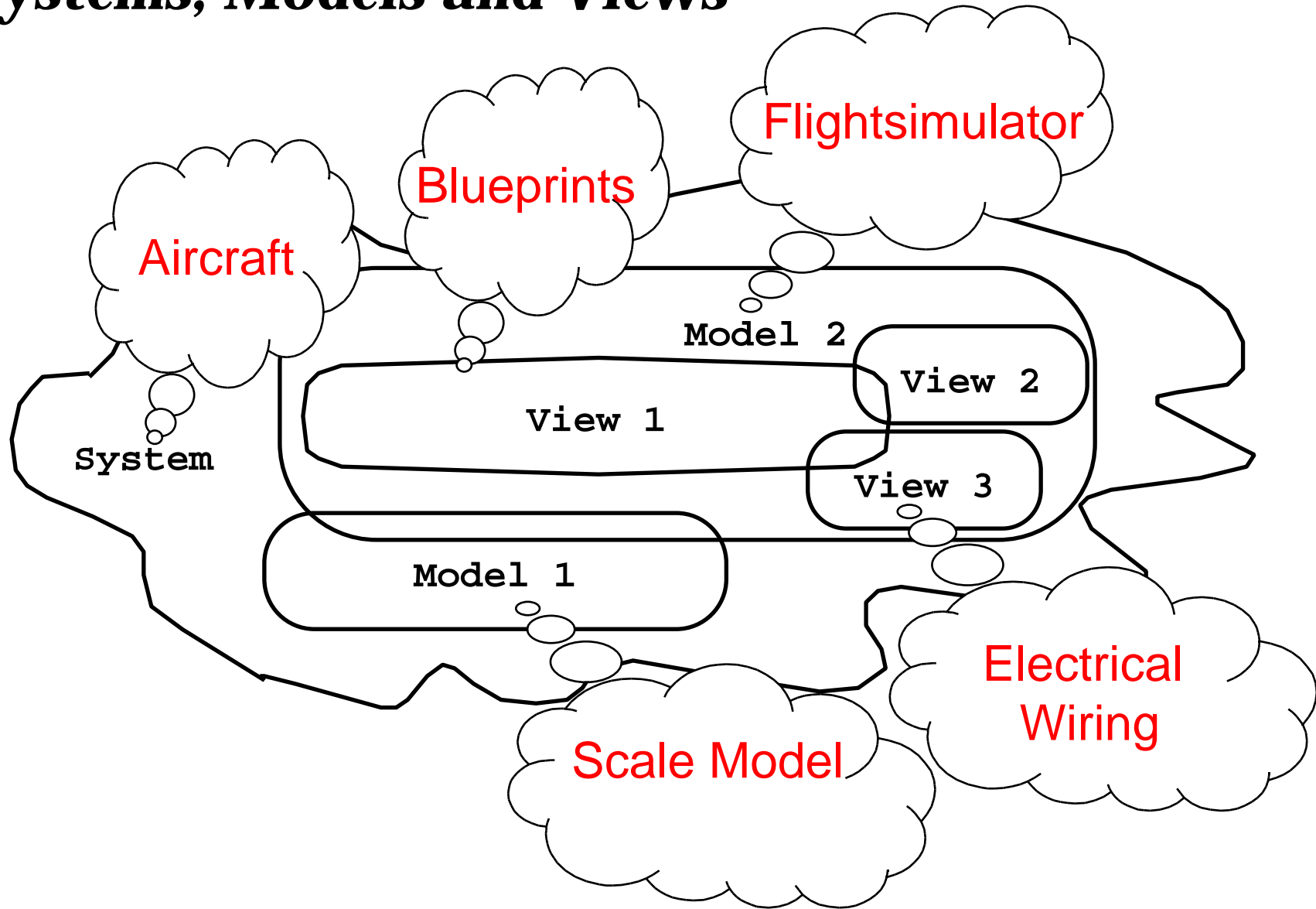
# ***Systems, Models and Views***

- ❖ A ***model*** is an abstraction describing a subset of a system
- ❖ A ***view*** depicts selected aspects of a model
- ❖ A ***notation*** is a set of graphical or textual rules for depicting views
- ❖ Views and models of a single system may overlap each other

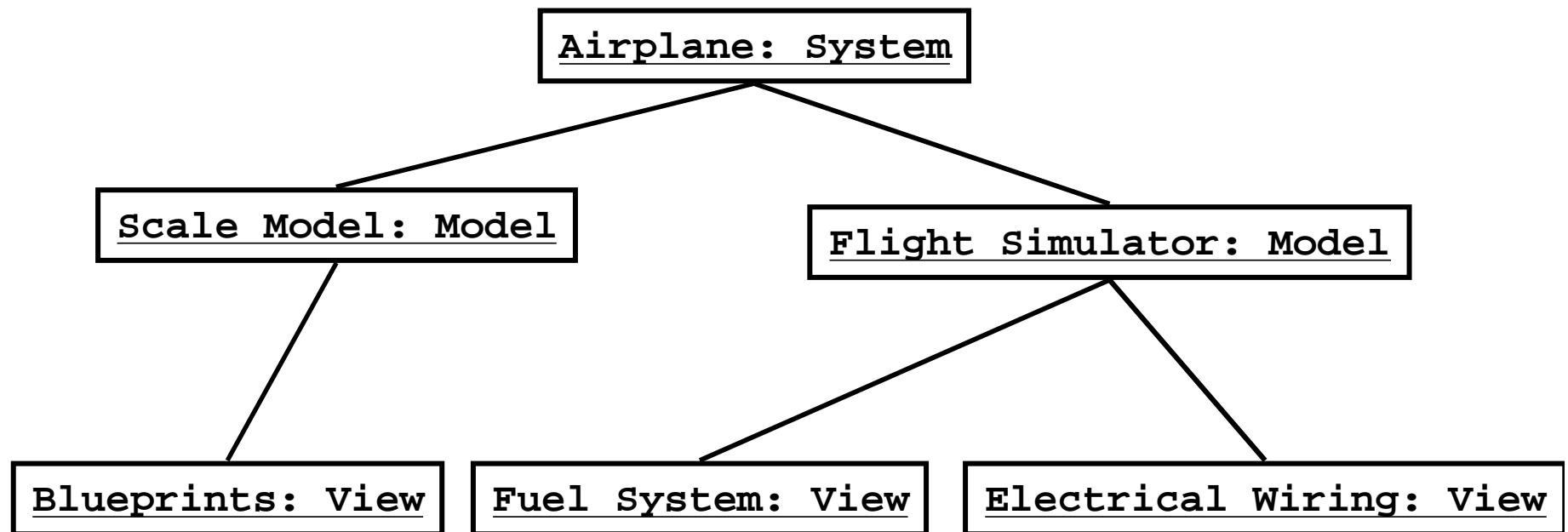
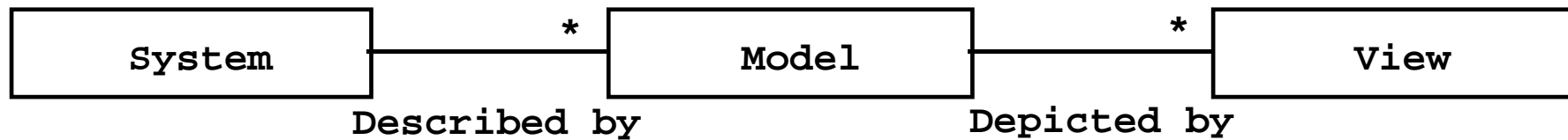
## **Examples:**

- ❖ **System:** Aircraft
- ❖ **Models:** Flight simulator, scale model
- ❖ **Views:** All blueprints, electrical wiring, fuel system

# Systems, Models and Views



# Models, Views and Systems (UML)





# ***Concepts and Phenomena***

## **Phenomenon**

- ◆ **An object in the world of a domain as you perceive it**
- ◆ ***Example:* The lecture you are attending**
- ◆ ***Example:* My black watch**

## **Concept**

- ◆ **Describes the properties of phenomena that are common.**
- ◆ ***Example:* Lectures on software engineering**
- ◆ ***Example:* Black watches**

## **Concept is a 3-tuple:**

- ◆ **Name (To distinguish it from other concepts)**
- ◆ **Purpose (Properties that determine if a phenomenon is a member of a concept)**
- ◆ **Members (The set of phenomena which are part of the concept)**

# Concepts and phenomena

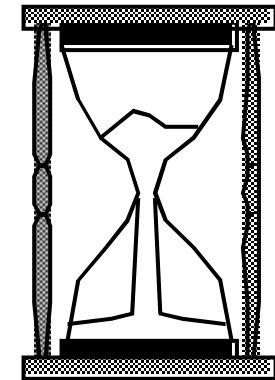
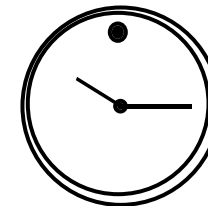
Name

Purpose

Members

Clock

A device that  
measures time.



## ❖ Abstraction

- ◆ Classification of phenomena into concepts

## ❖ Modeling

- ◆ Development of abstractions to answer specific questions about a set of phenomena while ignoring irrelevant details.

# ***Concepts in software: Type and Instance***

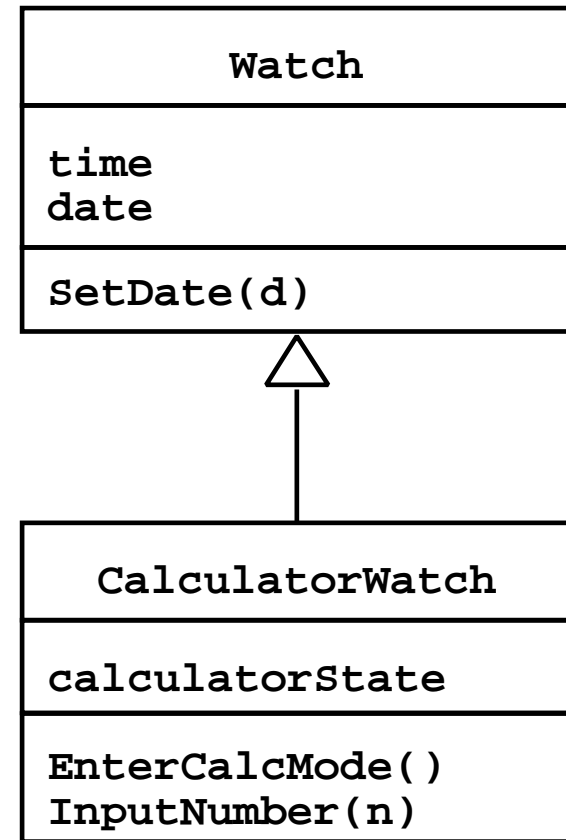
- ❖ **Type:**
  - ◆ **An abstraction in the context of programming languages**
  - ◆ **Name: int, Purpose: integral number, Members: 0, -1, 1, 2, -2, . . .**
- ❖ **Instance:**
  - ◆ **Member of a specific type**
- ❖ **The type of a variable represents all possible instances the variable can take**

The following relationships are similar:

- ◆ **“type” <-> “instance”**
- ◆ **“concept” <-> “phenomenon”**

# Abstract Data Types & Classes

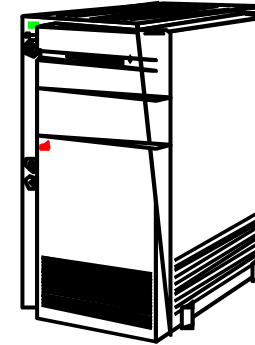
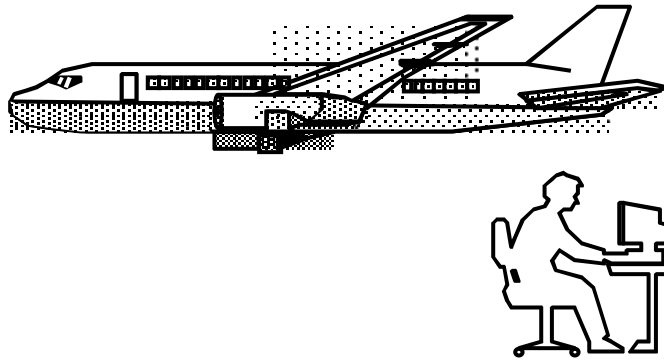
- ❖ Abstract data type
  - ◆ Special type whose implementation is hidden from the rest of the system.
- ❖ Class:
  - ◆ An abstraction in the context of object-oriented languages
- ❖ Like an abstract data type, a class encapsulates both state (variables) and behavior (methods)
  - ◆ Class Vector
- ❖ Unlike abstract data types, classes can be defined in terms of other classes using inheritance



# ***Application and Solution Domain***

- ❖ **Application Domain (Requirements Analysis):**
  - ◆ **The environment in which the system is operating**
- ❖ **Solution Domain (System Design, Object Design):**
  - ◆ **The available technologies to build the system**

# Object-oriented modeling



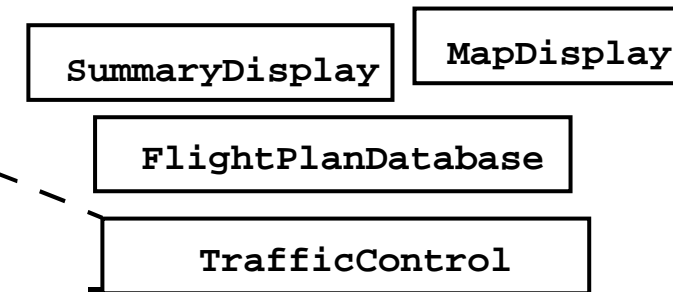
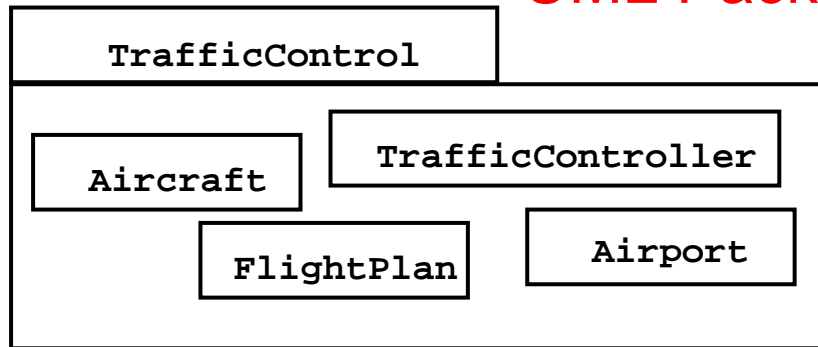
## Application Domain

## Solution Domain

### Application Domain Model

### UML Package

### System Model



# ***What is UML?***

- ❖ **UML (Unified Modeling Language)**
  - ◆ **An emerging standard for modeling object-oriented software.**
  - ◆ **Resulted from the convergence of notations from three leading object-oriented methods:**
    - ◆ **OMT (James Rumbaugh)**
    - ◆ **OOSE (Ivar Jacobson)**
    - ◆ **Booch (Grady Booch)**
- ❖ **Reference: “The Unified Modeling Language User Guide”, Addison Wesley, 1999.**
- ❖ **Supported by several CASE tools**
  - ◆ **Rational ROSE**
  - ◆ **TogetherJ (Lecture on November 16)**

# ***UML: First Pass***

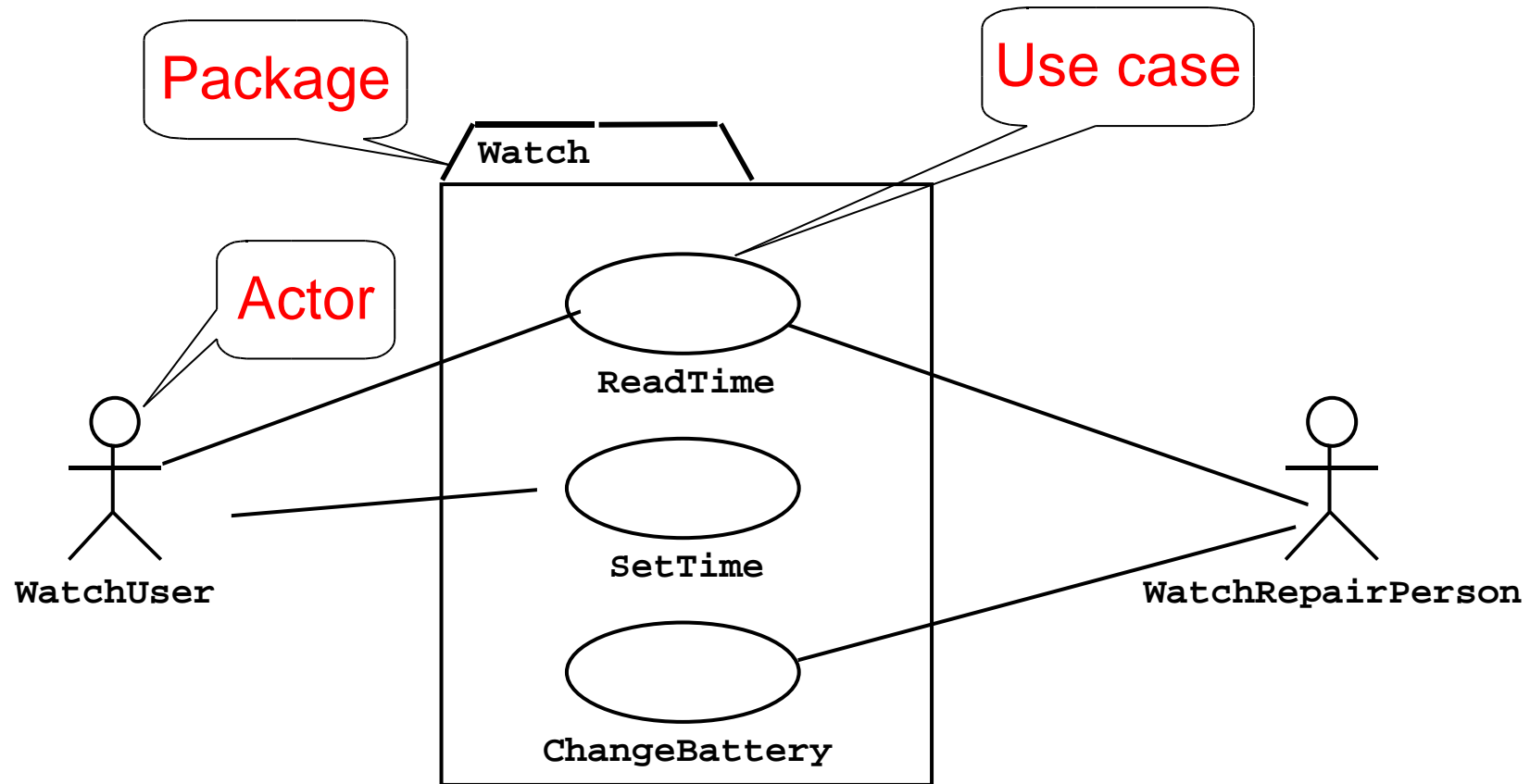
- ❖ You can model 80% of most problems by using about 20% UML
- ❖ We teach you those 20%



# ***UML First Pass***

- ❖ **Use case Diagrams**
  - ◆ **Describe the functional behavior of the system as seen by the user.**
- ❖ **Class diagrams**
  - ◆ **Describe the static structure of the system: Objects, Attributes, Associations**
- ❖ **Sequence diagrams**
  - ◆ **Describe the dynamic behavior between actors and the system and between objects of the system**
- ❖ **Statechart diagrams**
  - ◆ **Describe the dynamic behavior of an individual object (essentially a finite state automaton)**
- ❖ **Activity Diagrams**
  - ◆ **Model the dynamic behavior of a system, in particular the workflow (essentially a flowchart)**

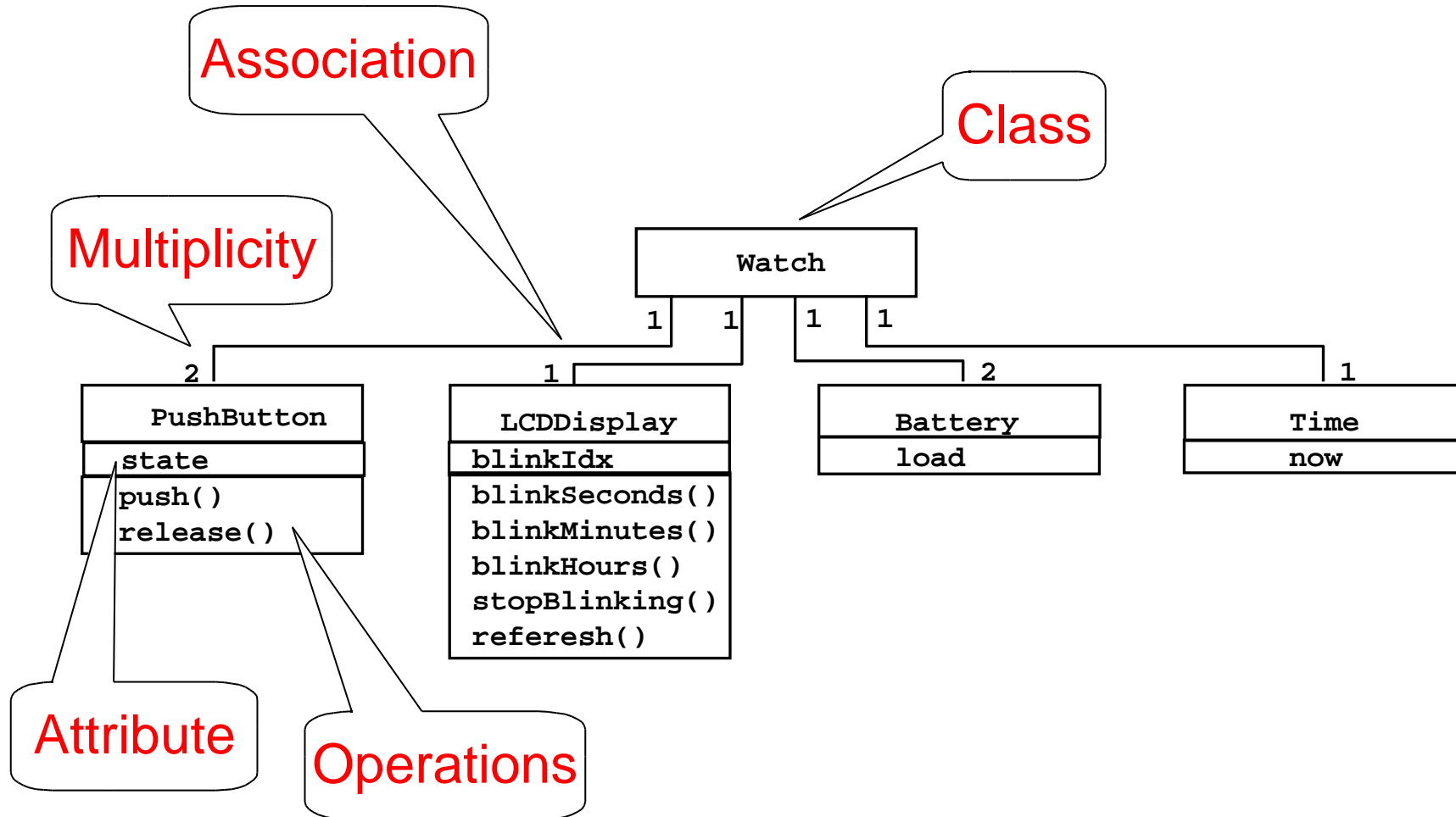
# UML first pass: Use case diagrams



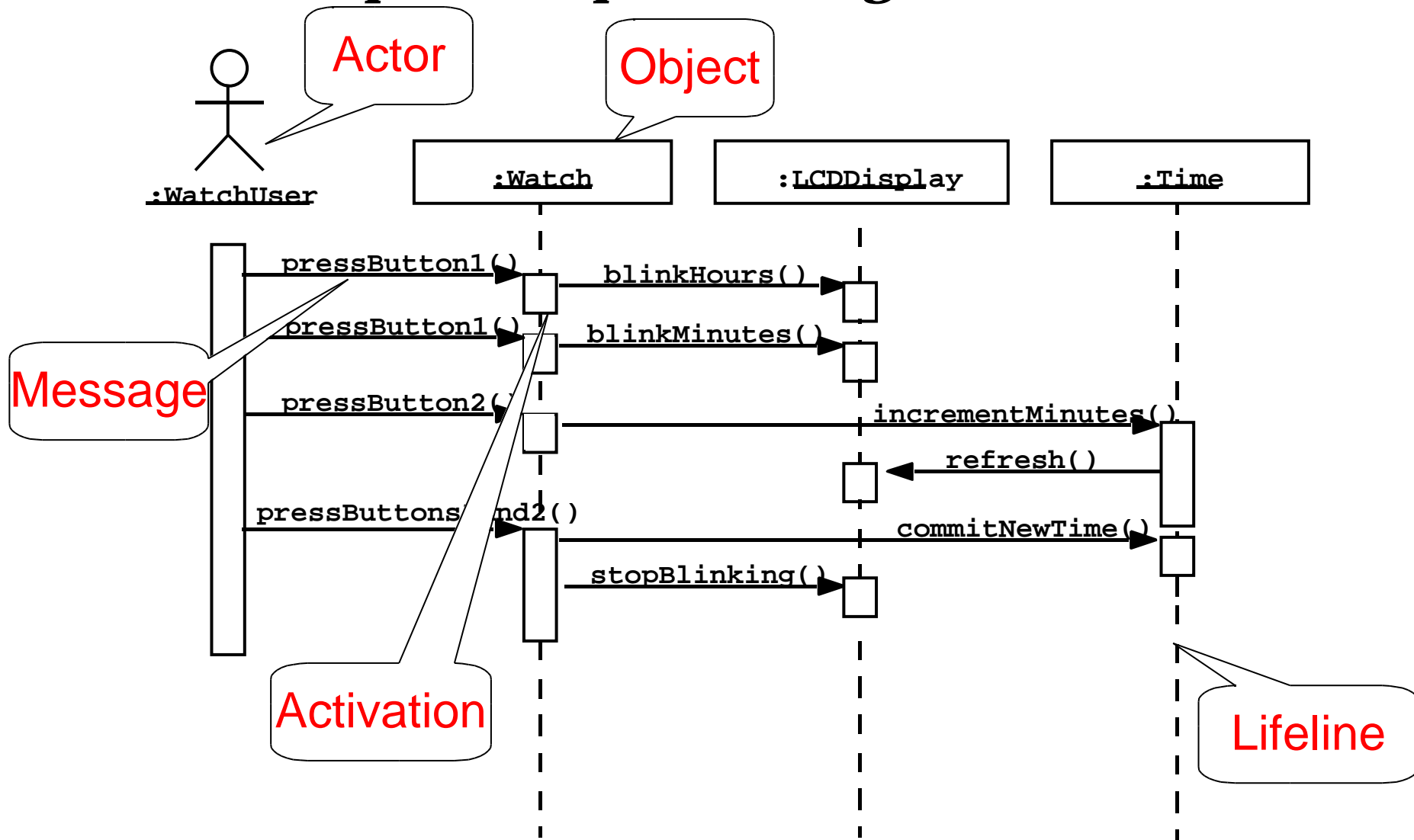
Use case diagrams represent the functionality of the system from user's point of view

# UML first pass: Class diagrams

Class diagrams represent the structure of the system

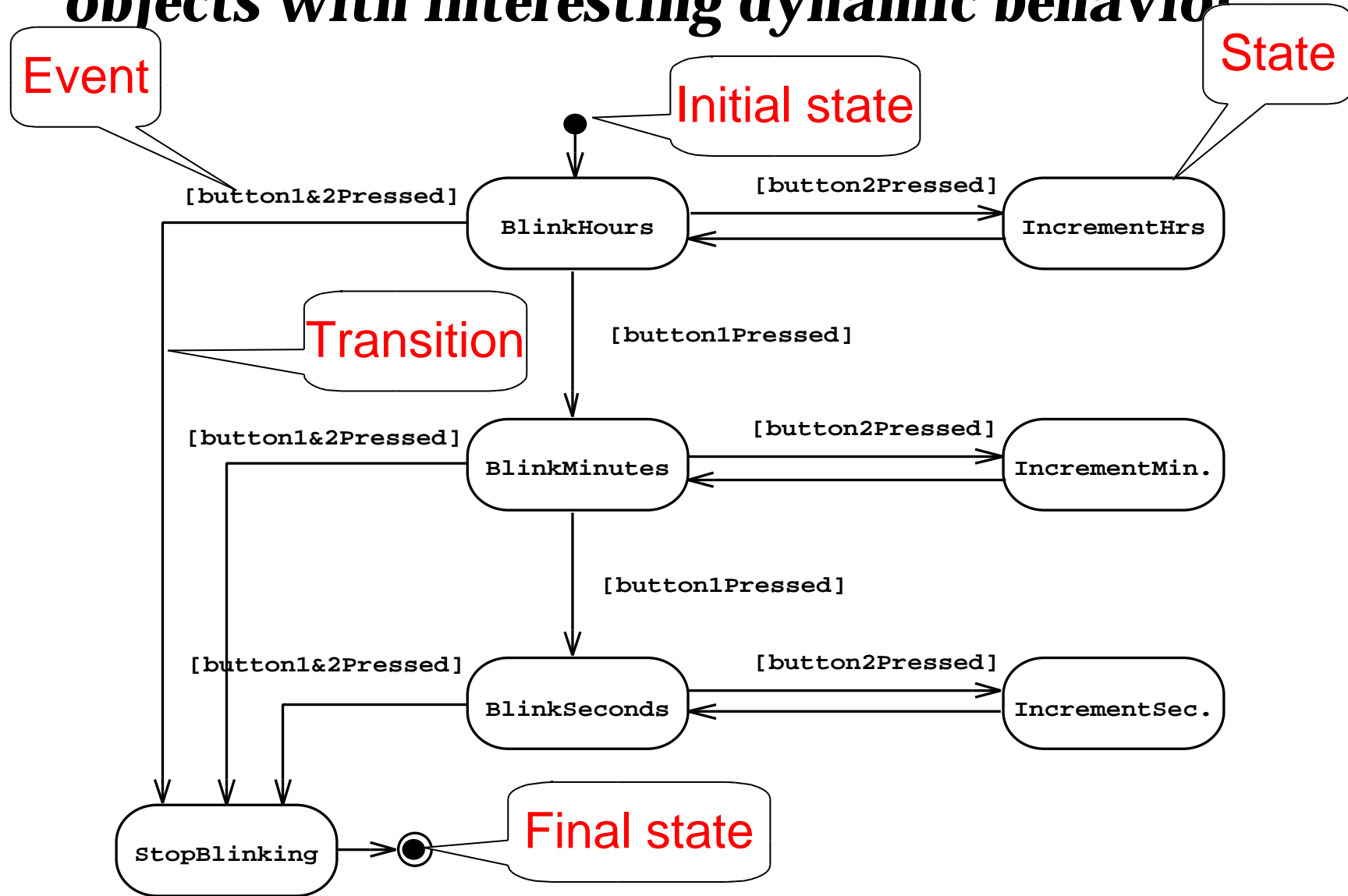


# UML first pass: Sequence diagram



Sequence diagrams represent the behavior as interactions

# UML first pass: Statechart diagrams for objects with interesting dynamic behavior



Represent behavior as states and transitions

# ***Other UML Notations***

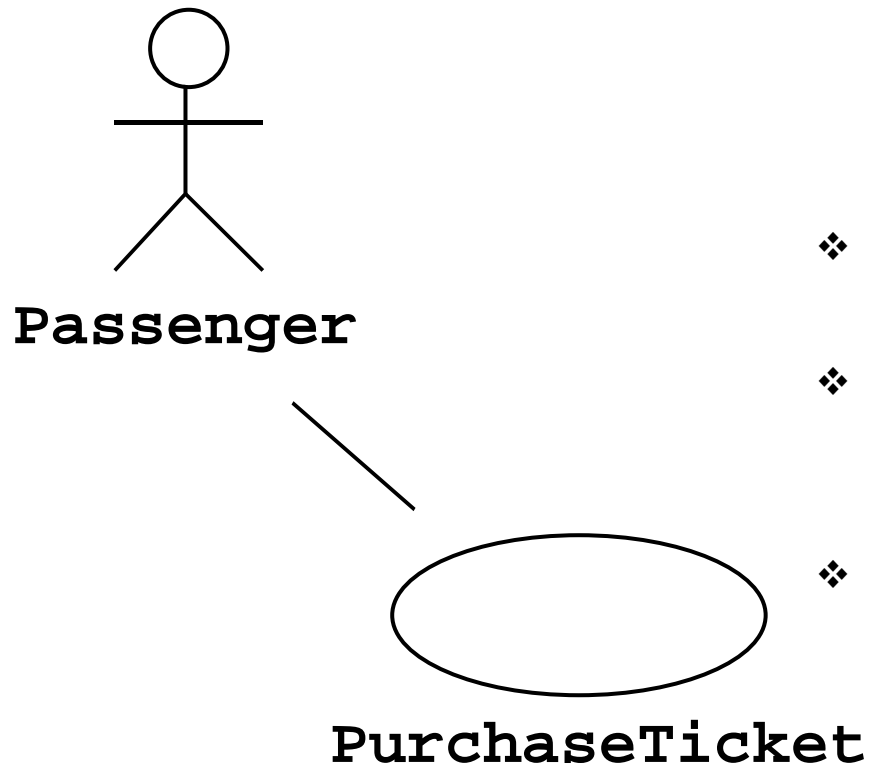
UML provide other notations that we will be introduced in subsequent lectures, as needed.

- ❖ **Implementation diagrams**
  - ◆ **Component diagrams**
  - ◆ **Deployment diagrams**
  - ◆ **Introduced in lecture on System Design (November 22)**
- ❖ **Object constraint language**
  - ◆ **Introduced in lecture on Object Design (December 21)**

# ***UML Core Conventions***

- ❖ Rectangles are classes or instances
- ❖ Ovals are functions or use cases
- ❖ Instances are denoted with an underlined names
  - ◆ myWatch:SimpleWatch
  - ◆ Joe:Firefighter
- ❖ Types are denoted with non underlined names
  - ◆ SimpleWatch
  - ◆ Firefighter
- ❖ Diagrams are graphs
  - ◆ Nodes are entitites
  - ◆ Arcs are relationships between entities

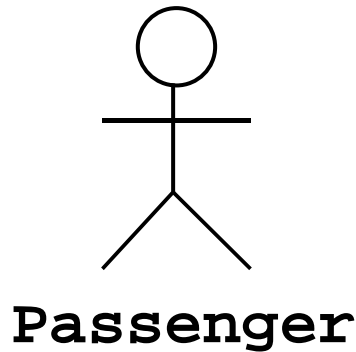
# Use Case Diagrams



- ❖ Used during requirements elicitation to represent external behavior
- ❖ **Actors** represent roles, that is, a type of user of the system
- ❖ **Use cases** represent a sequence of interaction for a type of functionality
- ❖ The use case model is the set of all use cases. It is a complete description of the functionality of the system and its environment



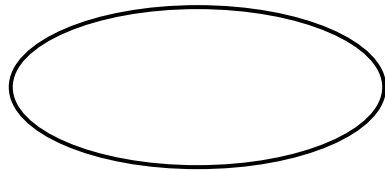
# Actors



- ❖ An actor models an external entity which communicates with the system:
  - ◆ **User**
  - ◆ **External system**
  - ◆ **Physical environment**
- ❖ An actor has a unique name and an optional description.
- ❖ Examples:
  - ◆ **Passenger: A person in the train**
  - ◆ **GPS satellite: Provides the system with GPS coordinates**

# Use Case

A use case represents a class of functionality provided by the system as an event flow.



**PurchaseTicket**

A use case consists of:

- ❖ Unique name
- ❖ Participating actors
- ❖ Entry conditions
- ❖ Flow of events
- ❖ Exit conditions
- ❖ Special requirements

# Use Case Diagram: Example

Name: Purchase ticket

Participating actor: Passenger

Entry condition:

- ❖ Passenger standing in front of ticket distributor.
- ❖ Passenger has sufficient money to purchase ticket.

Exit condition:

- ❖ Passenger has ticket.

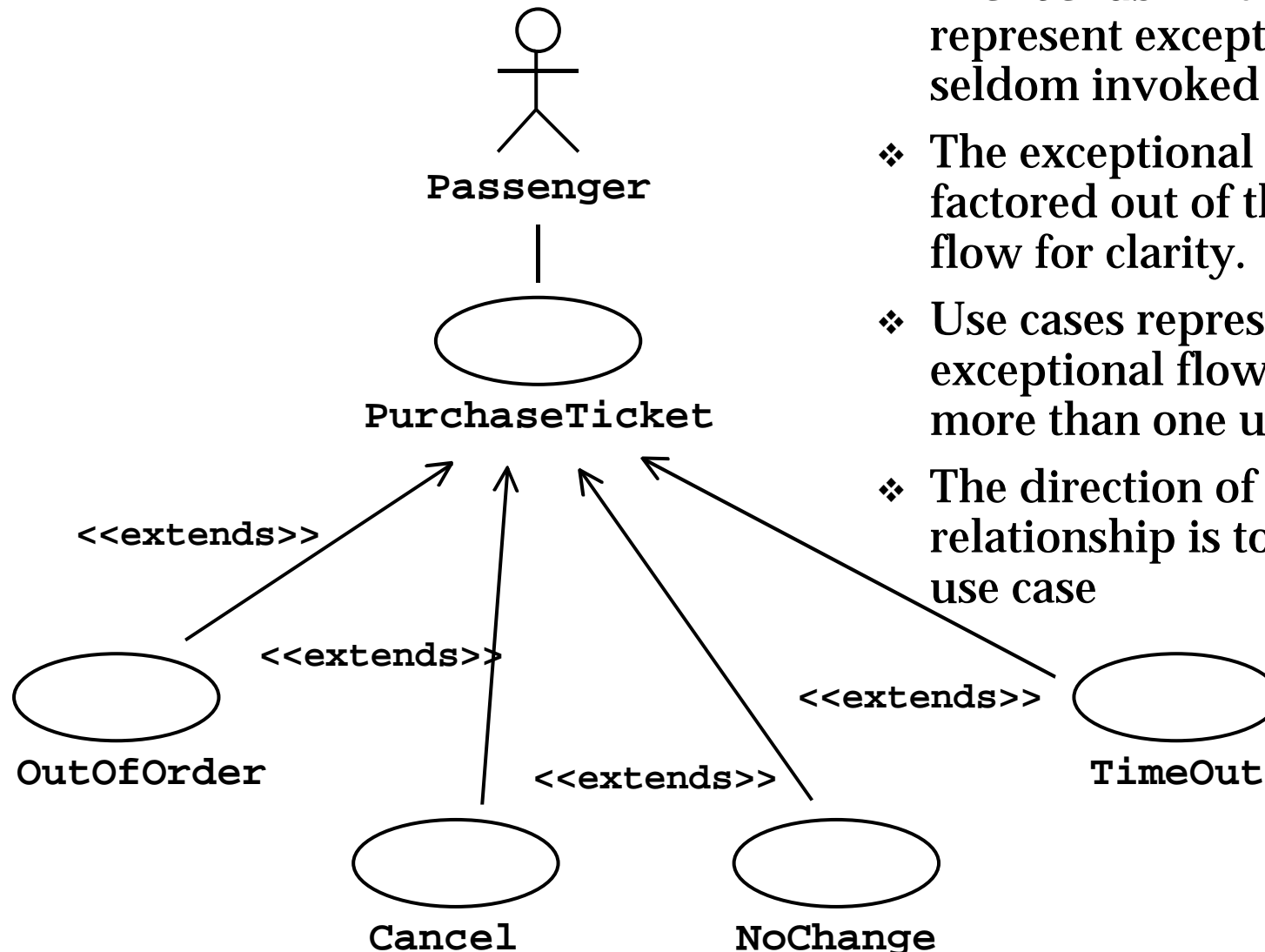
Event flow:

1. Passenger selects the number of zones to be traveled.
2. Distributor displays the amount due.
3. Passenger inserts money, of at least the amount due.
4. Distributor returns change.
5. Distributor issues ticket.

Anything missing?

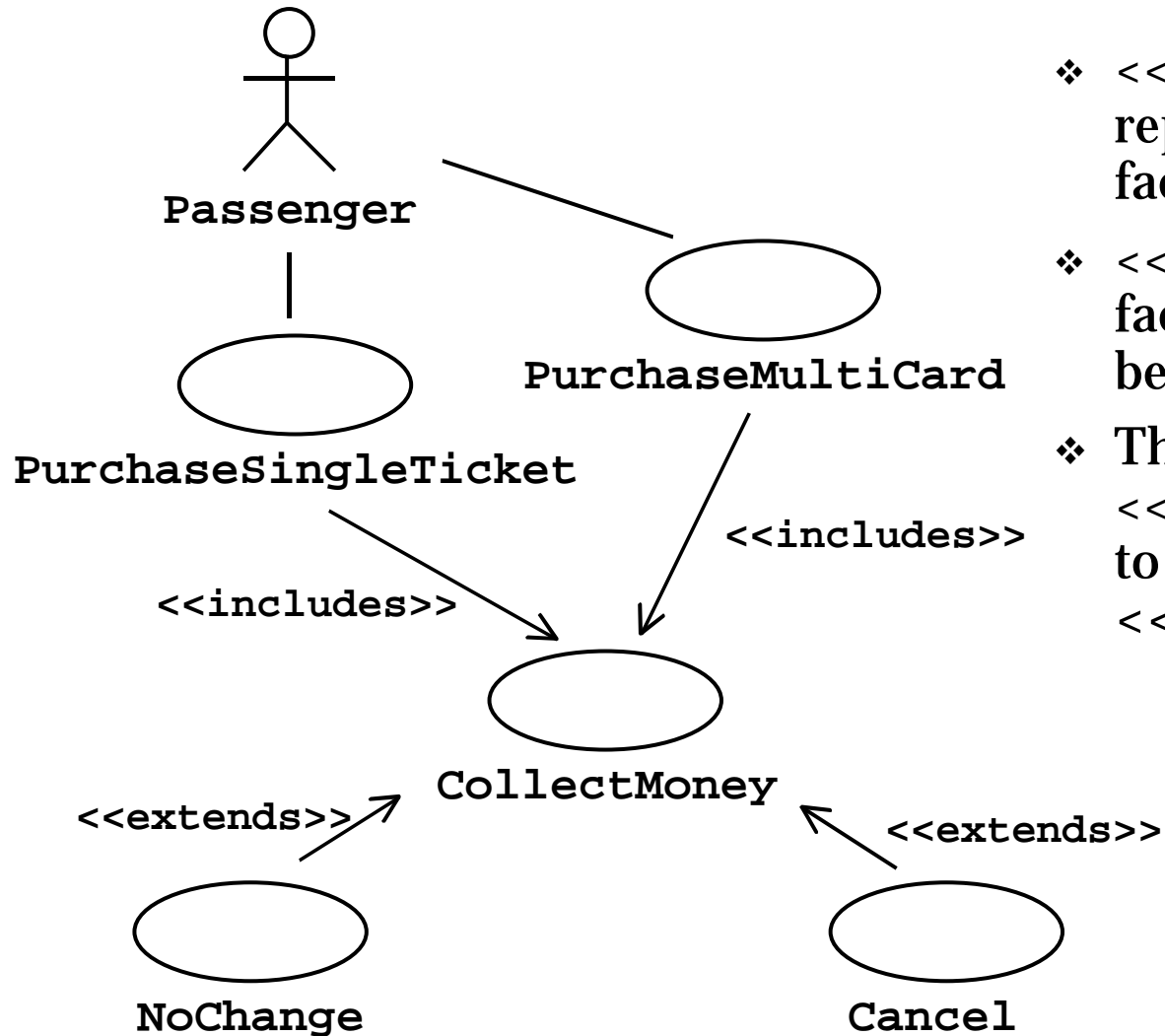
Exceptional cases!

# The <<extends>> Relationship



- ❖ <<extends>> relationships represent exceptional or seldom invoked cases.
- ❖ The exceptional event flows are factored out of the main event flow for clarity.
- ❖ Use cases representing exceptional flows can extend more than one use case.
- ❖ The direction of a <<extends>> relationship is to the extended use case

# The <<includes>> Relationship

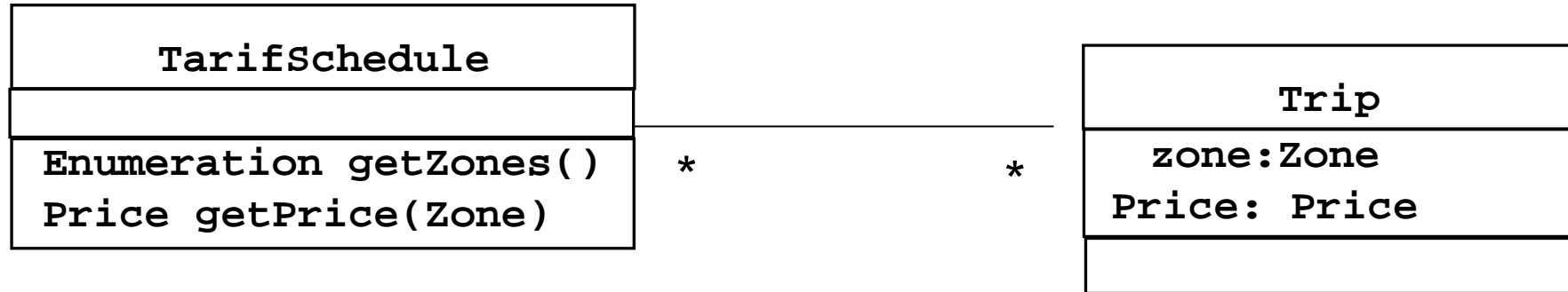


- ❖ <<includes>> relationship represents behavior that is factored out of the use case.
- ❖ <<includes>> behavior is factored out for reuse, not because it is an exception.
- ❖ The direction of a <<includes>> relationship is to the using use case (unlike <<extends>> relationships).

# ***Use Case Diagrams: Summary***

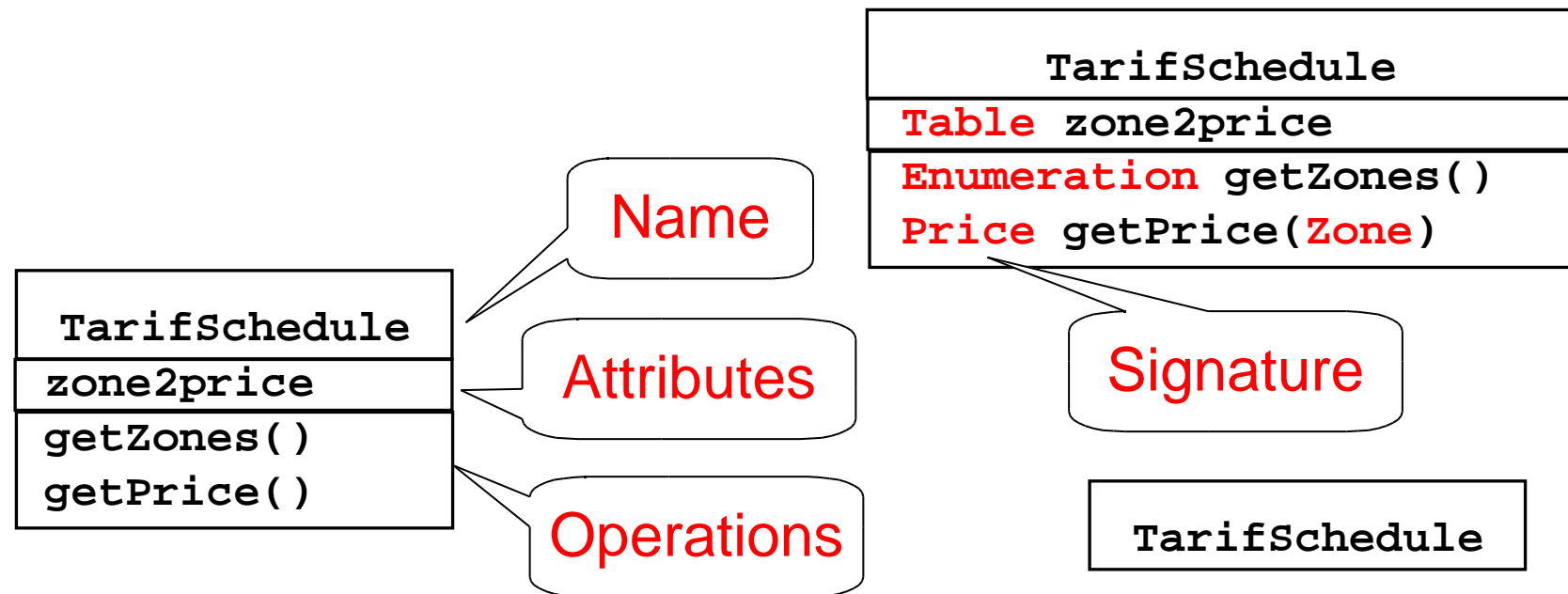
- ❖ Use case diagrams represent external behavior
- ❖ Use case diagrams are useful as an index into the use cases
- ❖ Use case descriptions provide meat of model, not the use case diagrams.
- ❖ All use cases need to be described for the model to be useful.

# Class Diagrams



- ❖ Class diagrams represent the structure of the system.
- ❖ Used
  - ◆ during requirements analysis to model problem domain concepts
  - ◆ during system design to model subsystems and interfaces
  - ◆ during object design to model classes.

# Classes



- ❖ A **class** represent a concept
- ❖ A class encapsulates state (**attributes**) and behavior (**operations**).
- ❖ Each attribute has a **type**.
- ❖ Each operation has a **signature**.
- ❖ The class name is the only mandatory information.



# Instances

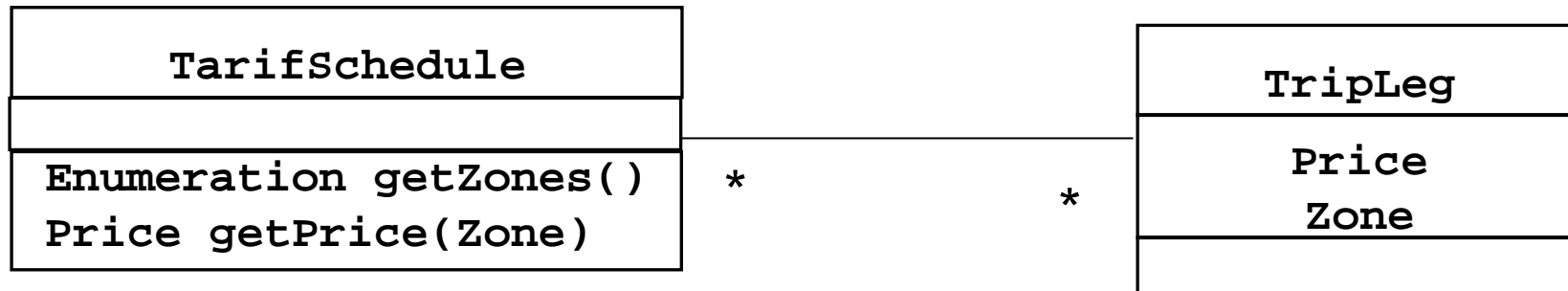
```
tarif_1974:TarifSchedule  
zone2price = {  
  {'1', .20},  
  {'2', .40},  
  {'3', .60}}
```

- ❖ An *instance* represents a phenomenon.
- ❖ The name of an instance is underlined and can contain the class of the instance.
- ❖ The attributes are represented with their *values*.

# ***Actor vs Instances***

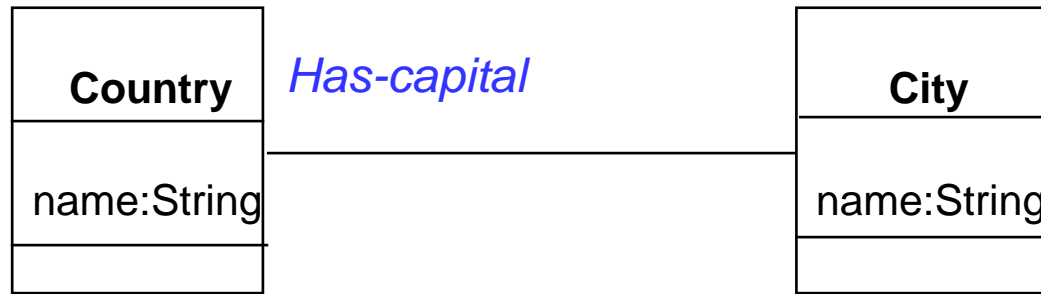
- ❖ What is the difference between an actor and a class and an instance?
- ❖ Actor:
  - ◆ **An entity outside the system to be modeled, interacting with the system (“Passenger”)**
- ❖ Class:
  - ◆ **An abstraction modeling an entity in the problem domain, inside the system to be modeled (“User”)**
- ❖ Object:
  - ◆ **A specific instance of a class (“Joe, the passenger who is purchasing a ticket from the ticket distributor”).**

# Associations



- ❖ Associations denote relationships between classes.
- ❖ The multiplicity of an association end denotes how many objects the source object can legitimately reference.

# 1-to-1 and 1-to-many Associations



**One-to-one association**



**One-to-many association**

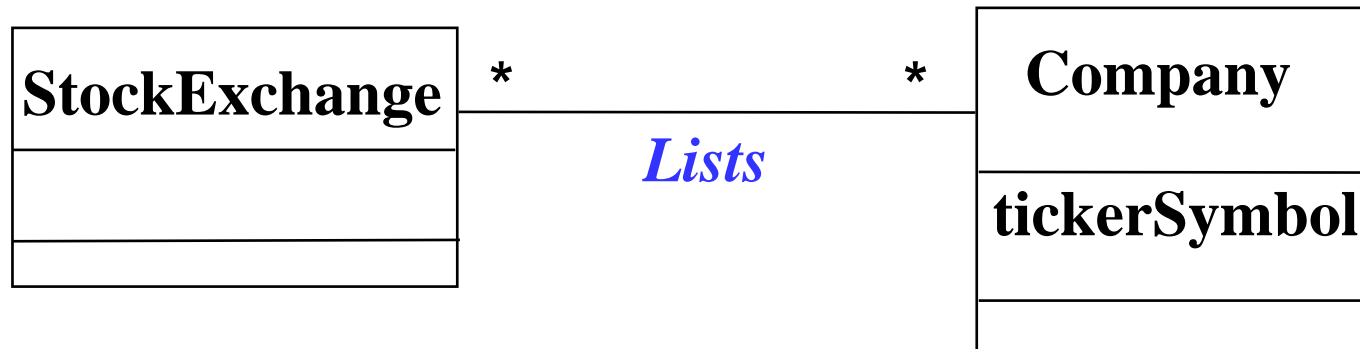
# Many-to-Many Associations



# From Problem Statement To Object Model

*Problem Statement: A stock exchange lists many companies. Each company is uniquely identified by a ticker symbol*

*Class Diagram:*



# From Problem Statement to Code

*Problem Statement* : A stock exchange lists many companies.  
Each company is identified by a ticker Symbol

## **Class Diagram:**



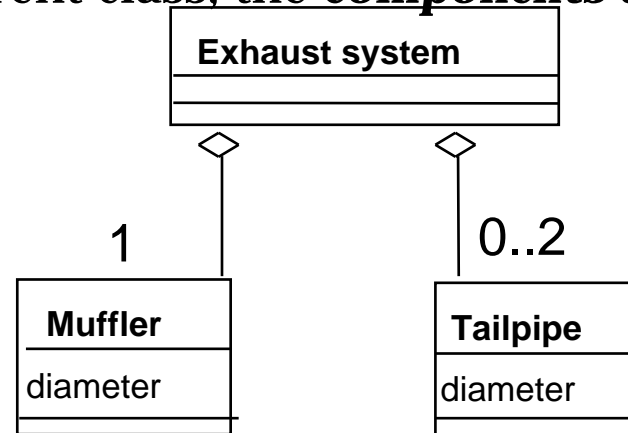
## **Java Code**

```
public class StockExchange
{
    public Vector m_Company = new Vector();
};

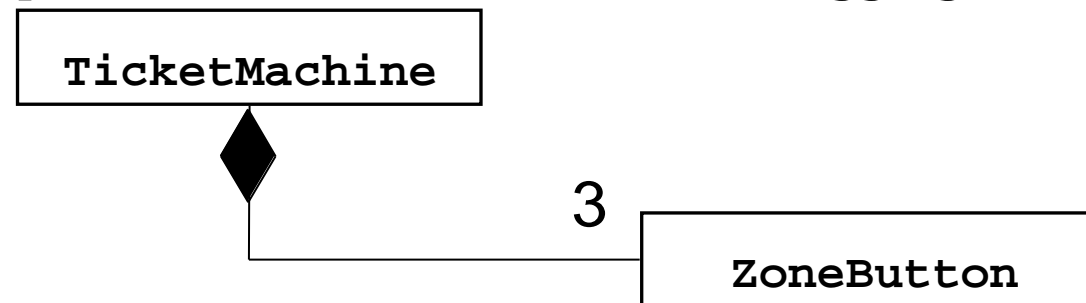
public class Company
{
    public int m_tickerSymbol
    public Vector m_StockExchange = new Vector();
};
```

# Aggregation

- ❖ An **aggregation** is a special case of association denoting a “consists of” hierarchy.
- ❖ The **aggregate** is the parent class, the **components** are the children class.



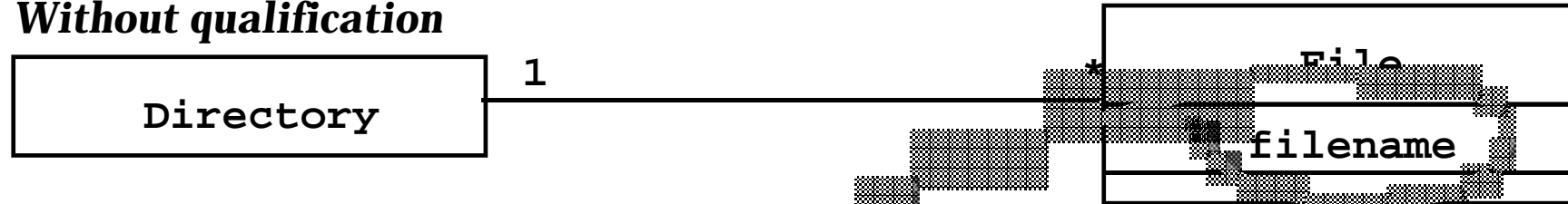
- ❖ A solid diamond denote **composition**, a strong form of aggregation where components cannot exist without the aggregate. (Bill of Material)





# Qualifiers

*Without qualification*

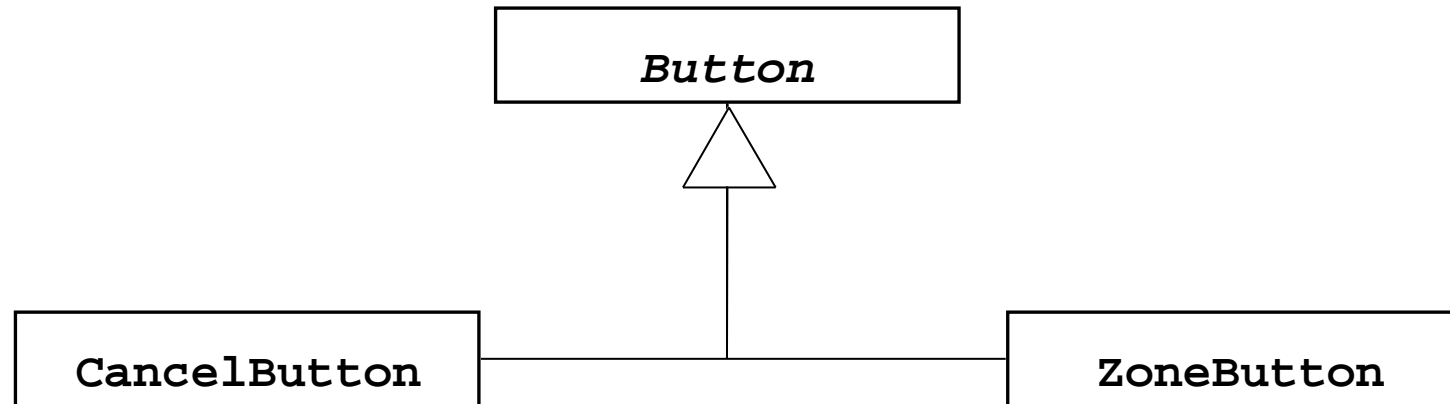


*With qualification*



- ❖ Qualifiers can be used to reduce the multiplicity of an association.

# Generalization



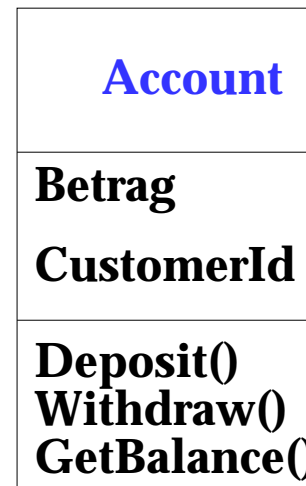
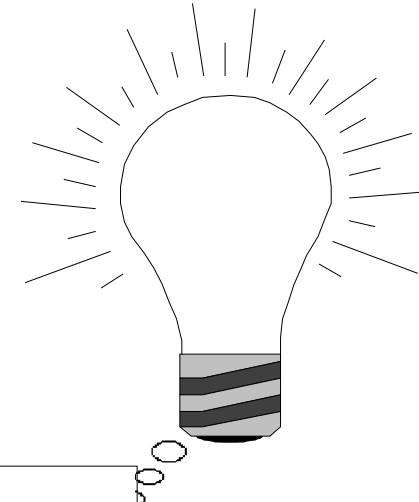
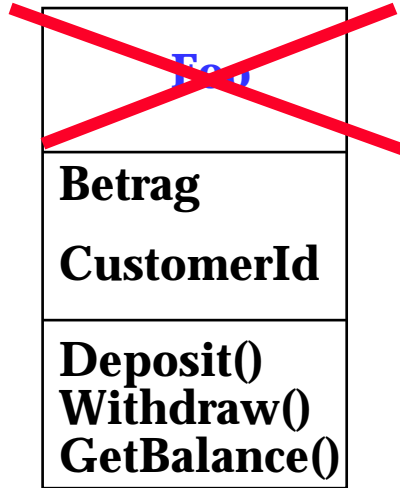
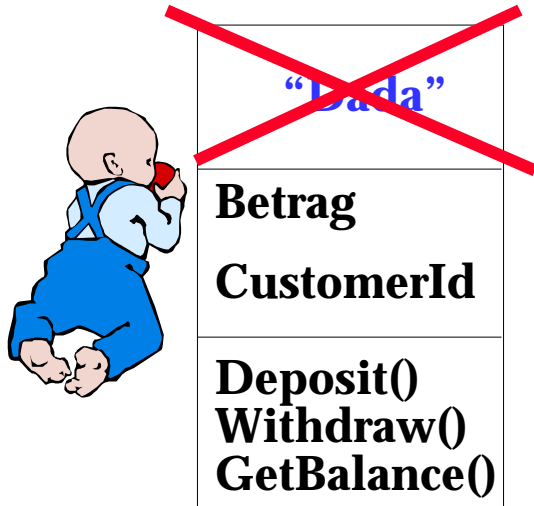
- ❖ Generalization relationships denote inheritance between classes.
- ❖ The children classes inherit the attributes and operations of the parent class.
- ❖ Generalization simplifies the model by eliminating redundancy.

# ***Object Modeling in Practice: Class Identification***

<b>Foo</b>
<b>Betrag</b> <b>CustomerId</b>
<b>Deposit()</b> <b>Withdraw()</b> <b>GetBalance()</b>

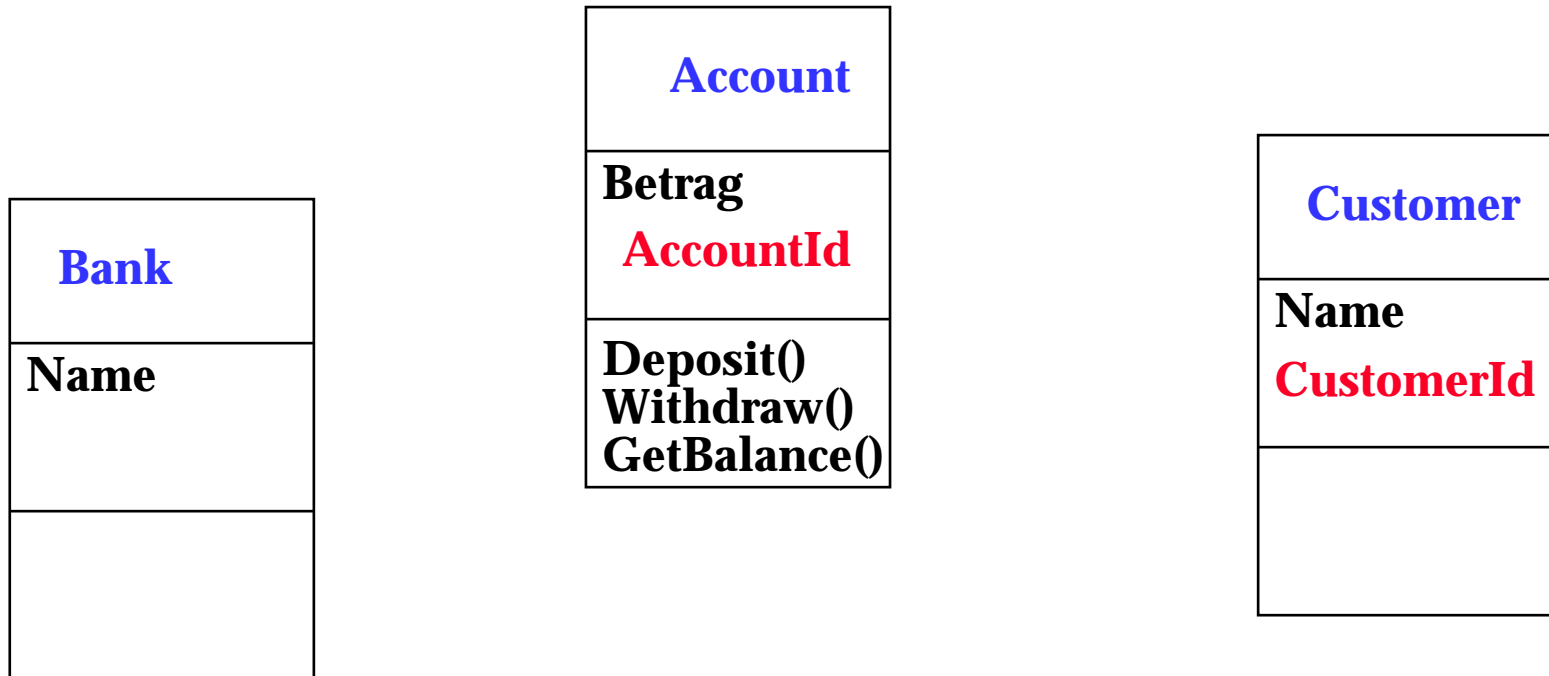
**Class Identification: Name of Class, Attributes and Methods**

# Object Modeling in Practice: Encourage Brainstorming



Naming is important!  
Is **Foo** the right name?

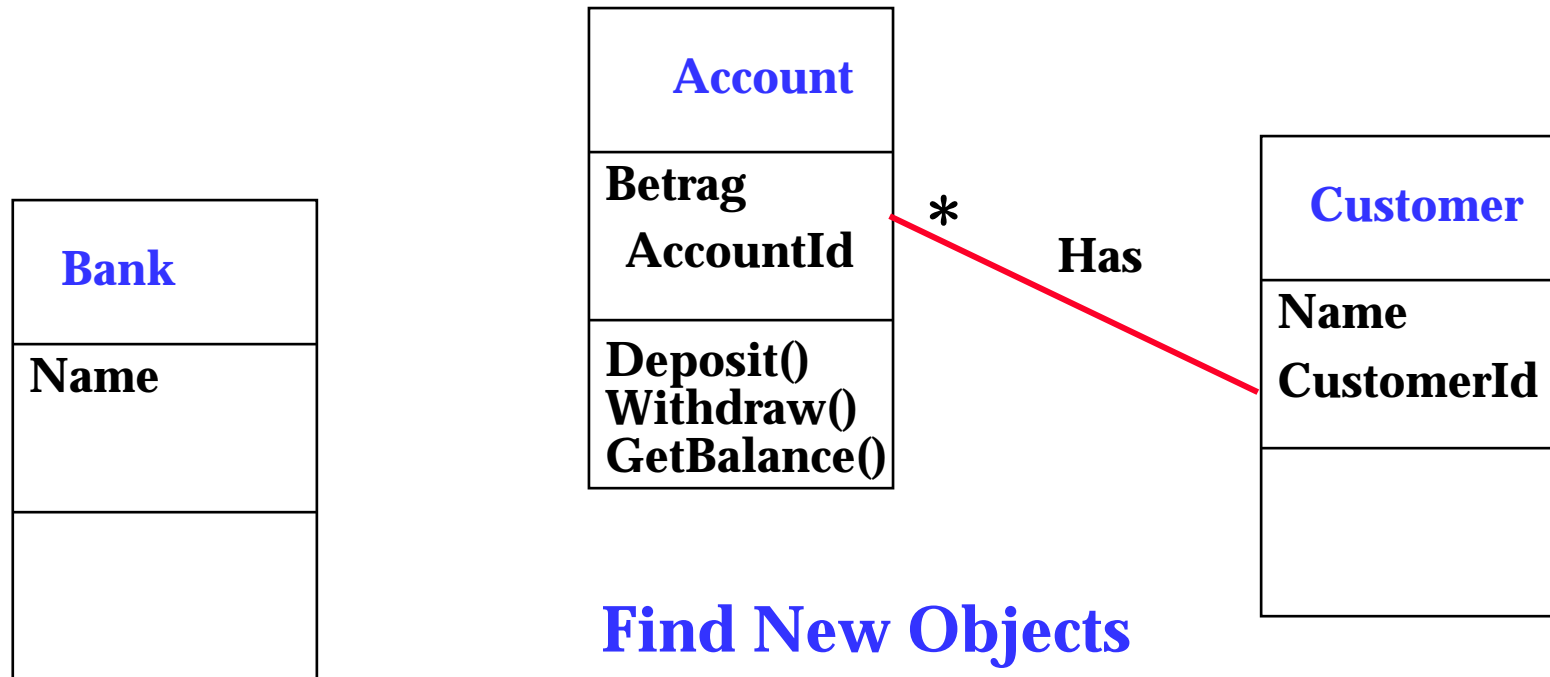
# Object Modeling in Practice ctd



**Find New Objects**

**Iterate on Names, Attributes and Methods**

# Object Modeling in Practice: A Banking System



**Find New Objects**

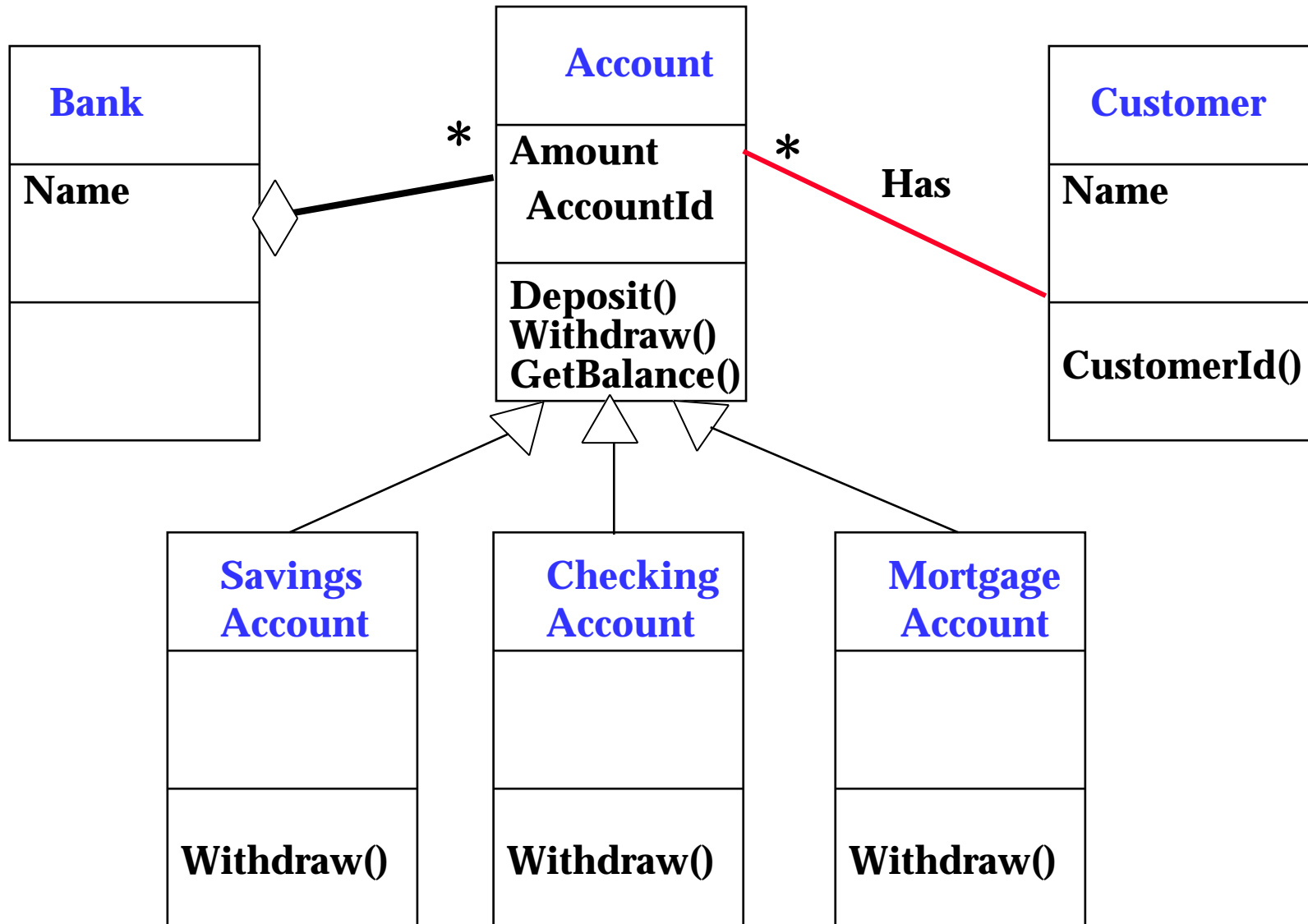
**Iterate on Names, Attributes and Methods**

**Find Associations between Objects**

**Label the associations**

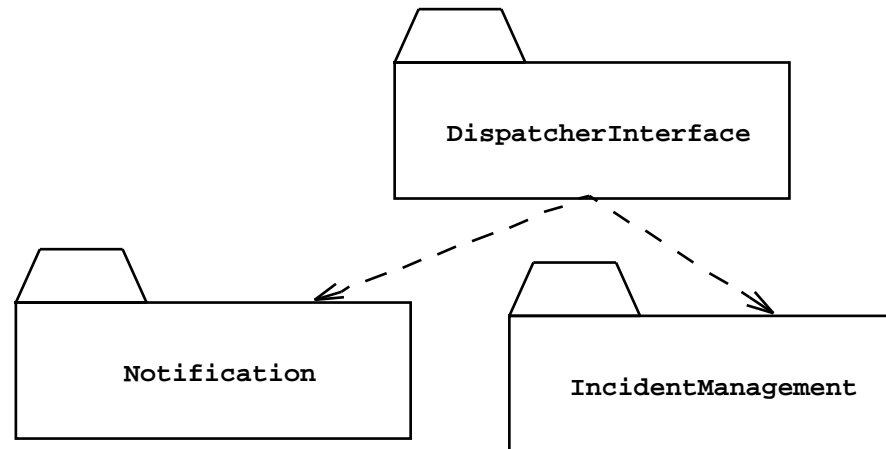
**Determine the multiplicity of the associations**

# Practice Object Modeling: Iterate, Categorize!



# Packages

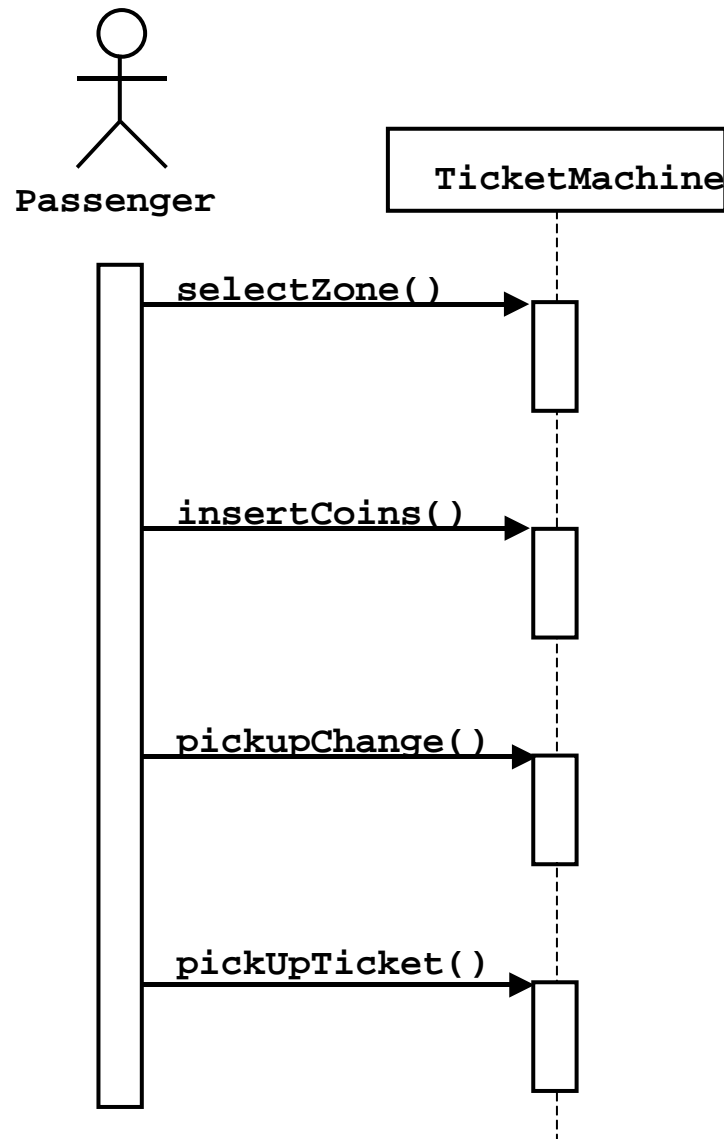
- ❖ A package is a UML mechanism for organizing elements into groups (usually not an application domain concept)
- ❖ Packages are the basic grouping construct with which you may organize UML models to increase their readability.



- ❖ A complex system can be decomposed into subsystems, where each subsystem is modeled as a package

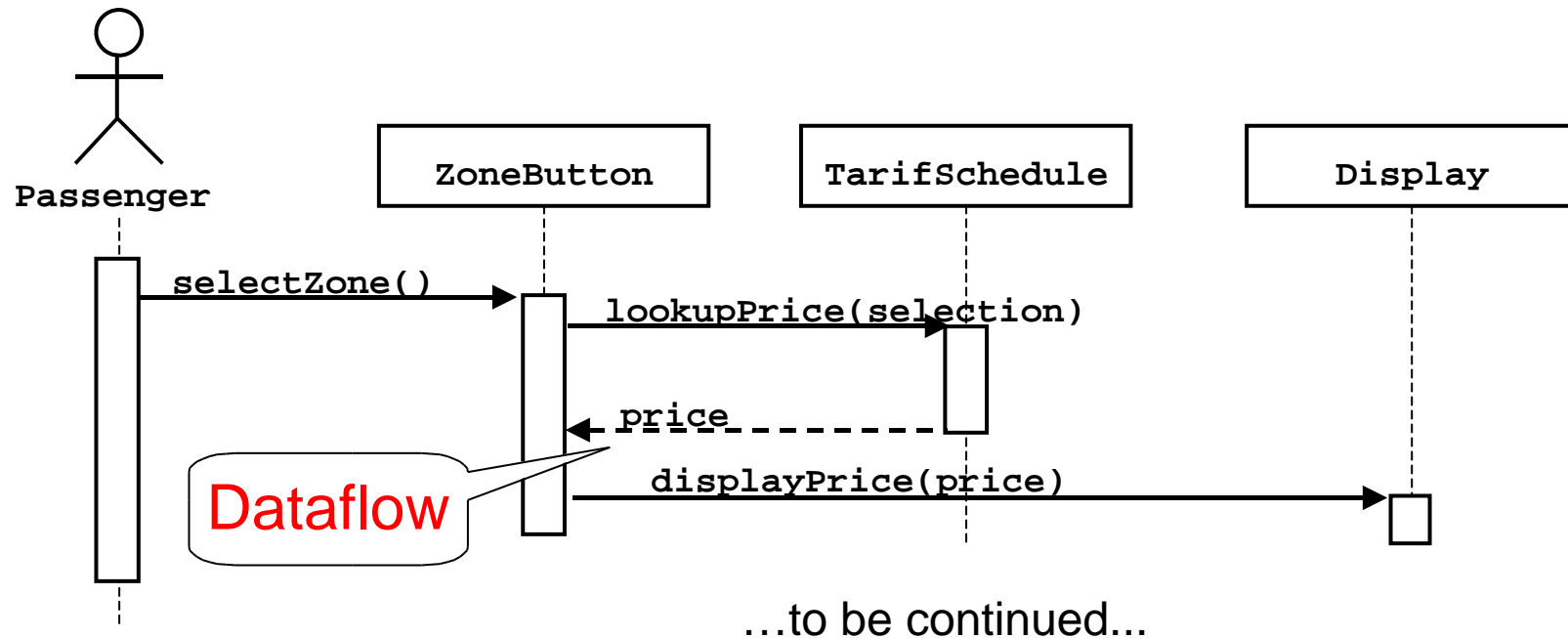


# UML sequence diagrams



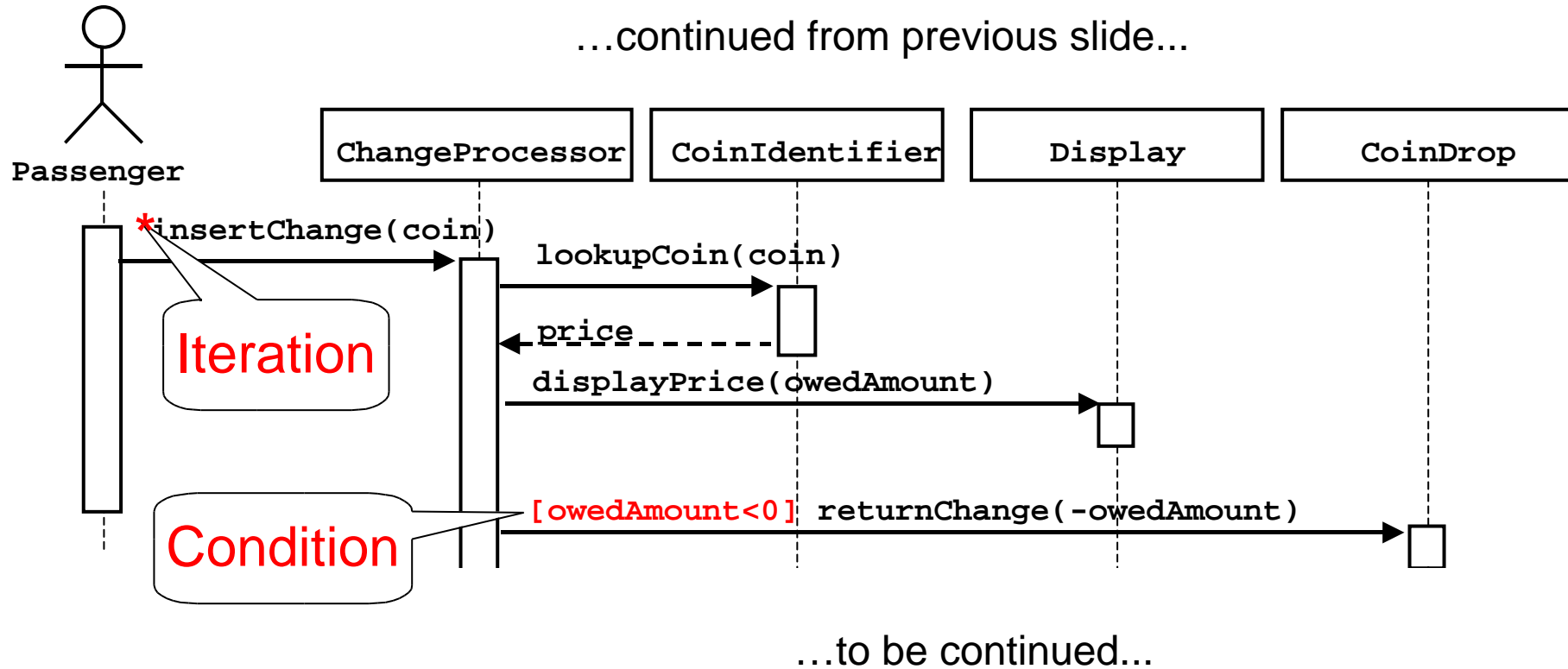
- ❖ Used during requirements analysis
  - ◆ To refine use case descriptions
  - ◆ to find additional objects (“participating objects”)
- ❖ Used during system design
  - ◆ to refine subsystem interfaces
- ❖ **Classes** are represented by columns
- ❖ **Messages** are represented by arrows
- ❖ **Activations** are represented by narrow rectangles
- ❖ **Lifelines** are represented by dashed lines

# Nested messages



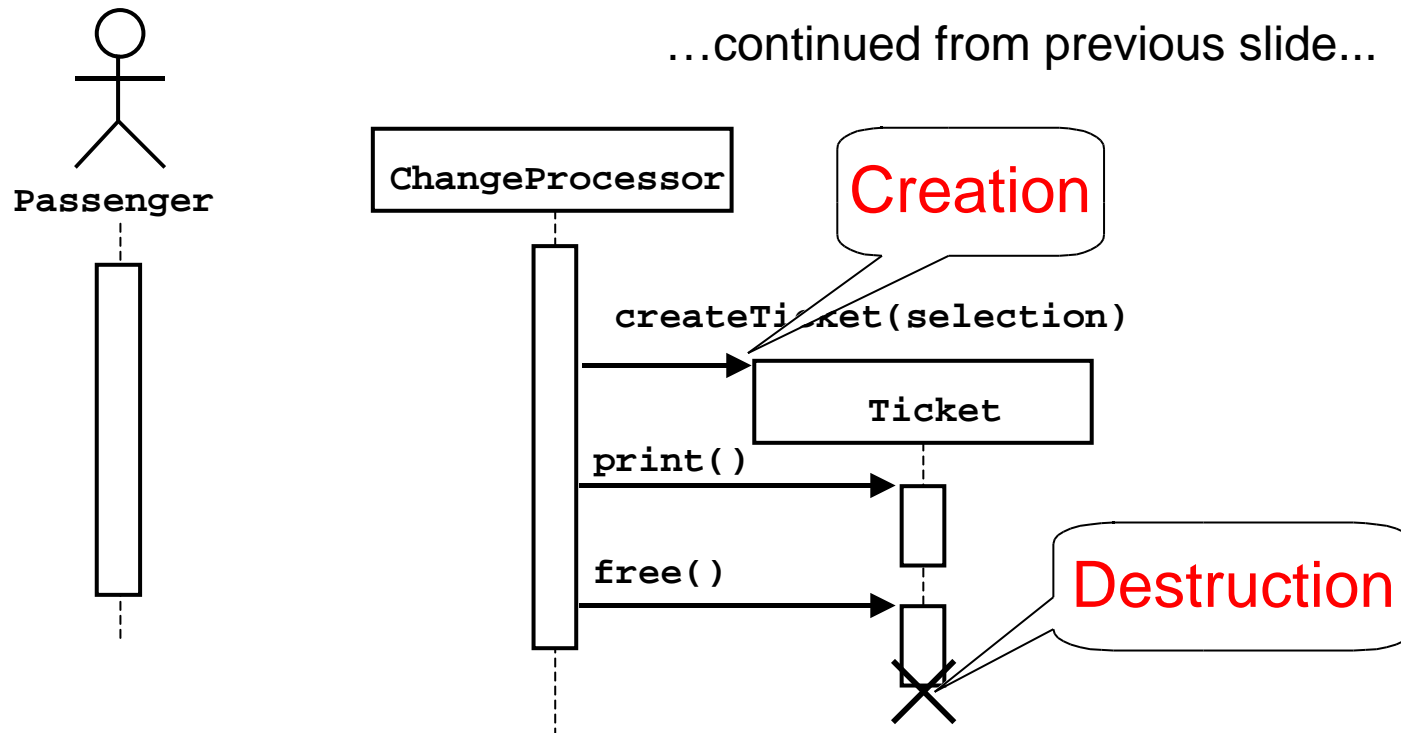
- ❖ The source of an arrow indicates the activation which sent the message
- ❖ An activation is as long as all nested activations
- ❖ Horizontal dashed arrows indicate data flow
- ❖ Vertical dashed lines indicate lifelines

# Iteration & condition



- ❖ Iteration is denoted by a \* preceding the message name
- ❖ Condition is denoted by boolean expression in [ ] before the message name

# Creation and destruction

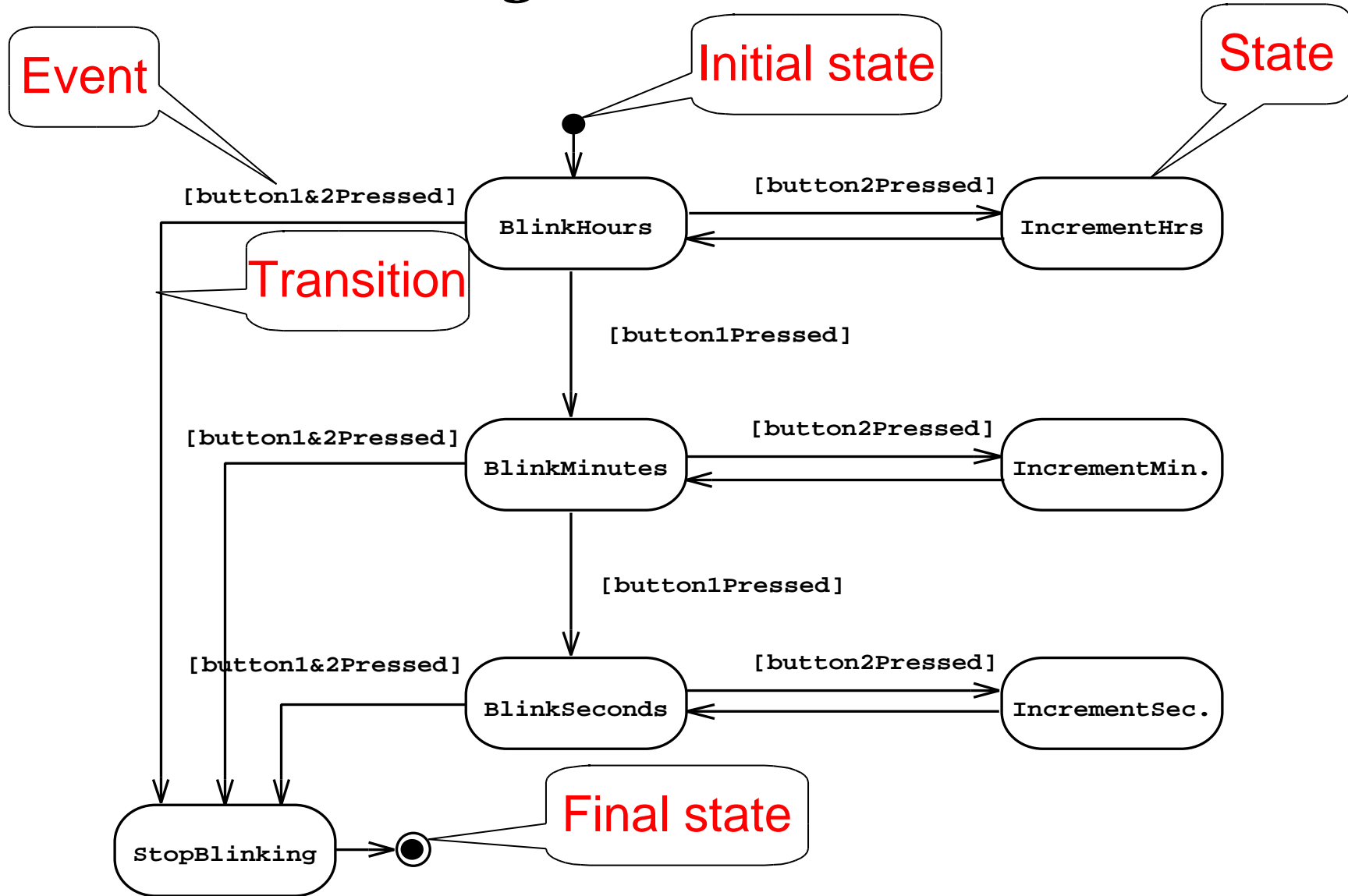


- ❖ Creation is denoted by a message arrow pointing to the object.
- ❖ Destruction is denoted by an X mark at the end of the destruction activation.
- ❖ In garbage collection environments, destruction can be used to denote the end of the useful life of an object.

# *Sequence Diagram Summary*

- ❖ UML sequence diagram represent behavior in terms of interactions.
- ❖ Useful to find missing objects.
- ❖ Time consuming to build but worth the investment.
- ❖ Complement the class diagrams which represent structure.

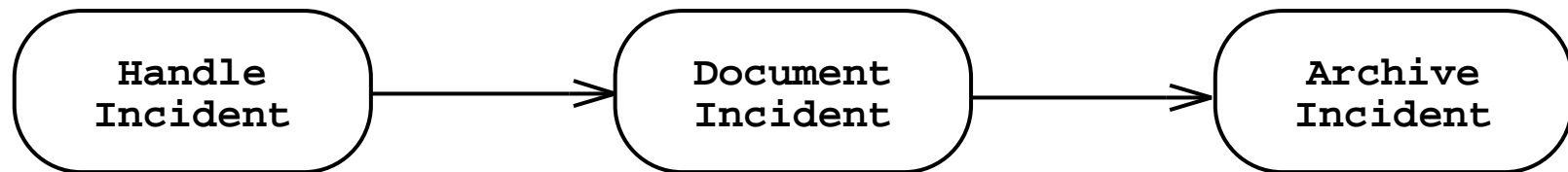
# State Chart Diagrams



Represent behavior as states and transitions

# Activity Diagrams

- ❖ An activity diagram shows flow control within a system

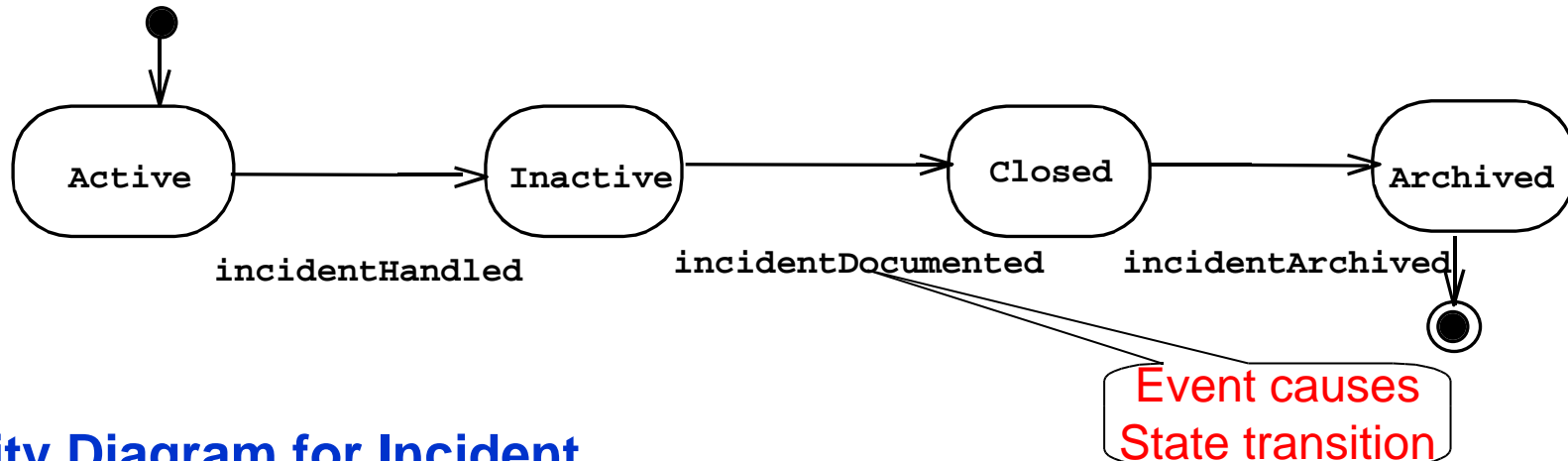


- ❖ An activity diagram is a special case of a state chart diagram in which states are activities (“functions”)
- ❖ Two types of states:
  - ◆ **Action state:**
    - ◆ Cannot be decomposed any further
    - ◆ Happens “instantaneously” with respect to the level of abstraction used in the model
  - ◆ **Activity state:**
    - ◆ Can be decomposed further
    - ◆ The activity is modeled by another activity diagram

# Statechart Diagram vs. Activity Diagram

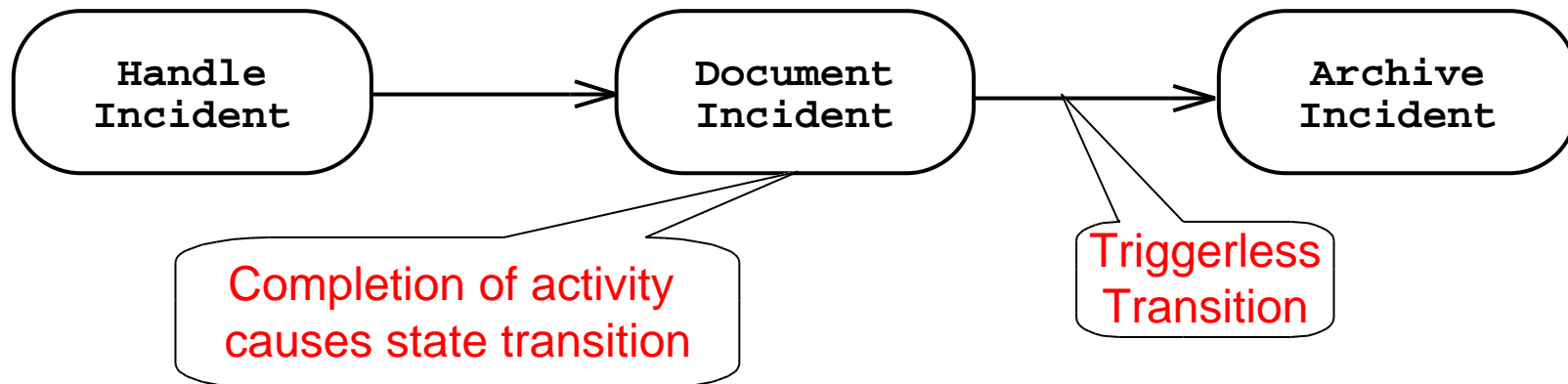
## Statechart Diagram for Incident

(State: Attribute or Collection of Attributes of object of type Incident)



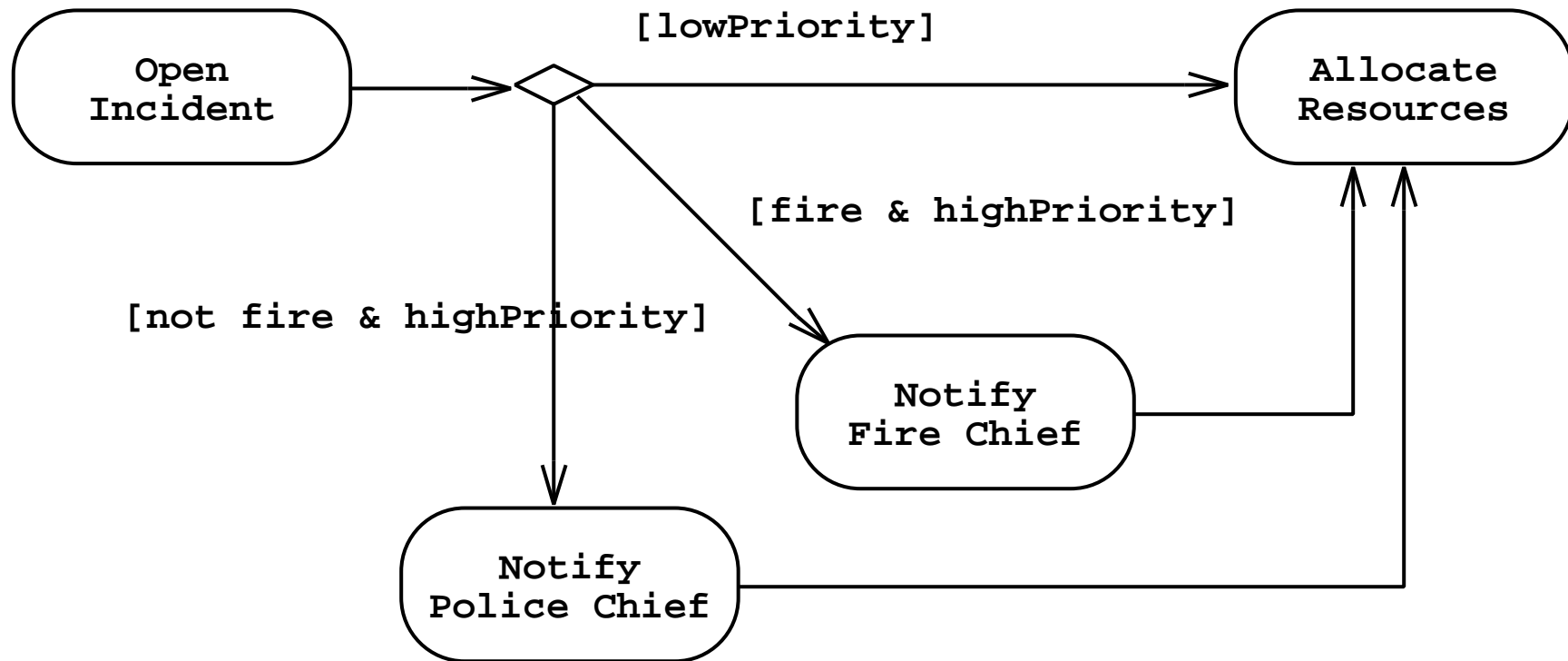
## Activity Diagram for Incident

(State: Operation or Collection of Operations)



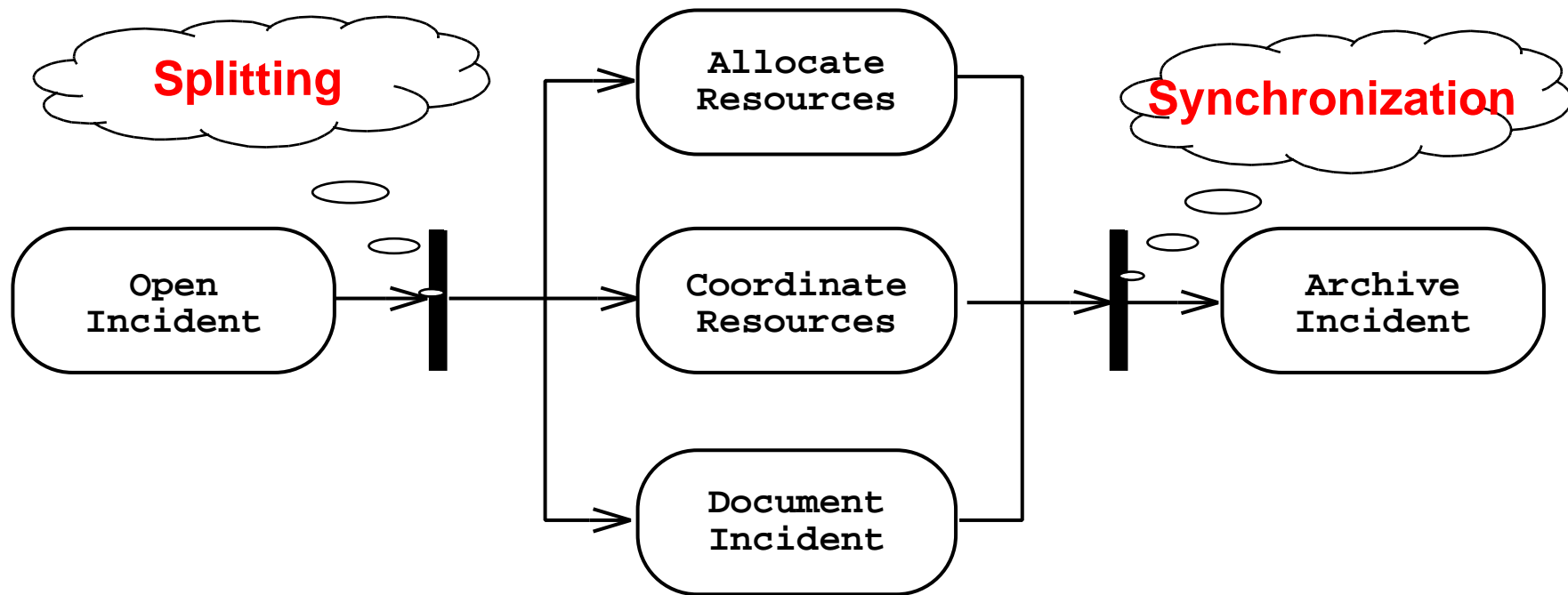


# Activity Diagram: Modeling Decisions



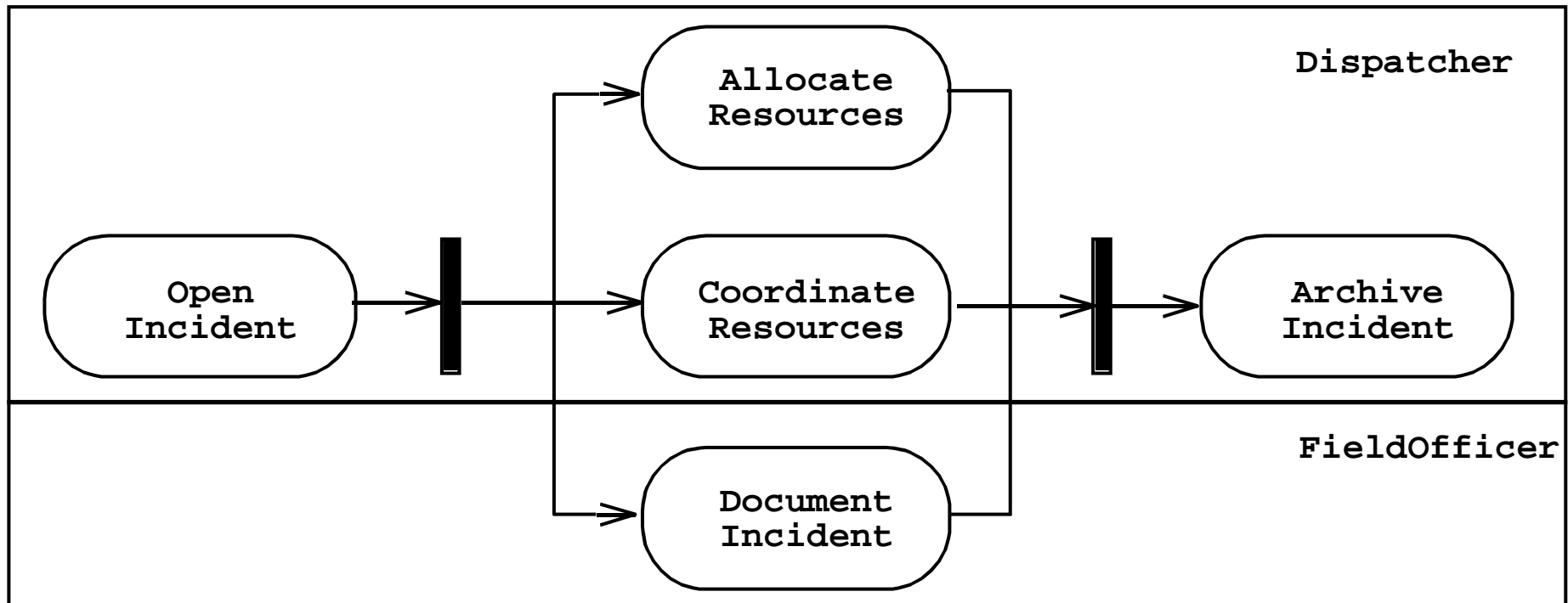
# Activity Diagrams: Modeling Concurrency

- ❖ Synchronization of multiple activities
- ❖ Splitting the flow of control into multiple threads



# Activity Diagrams: Swimlanes

- ❖ Actions may be grouped into swimlanes to denote the object or subsystem that implements the actions.



# ***What should be done first? Coding or Modeling?***

- ❖ It all depends....
  
- ❖ **Forward Engineering:**
  - ◆ **Creation of code from a model**
  - ◆ **Greenfield projects**
  
- ❖ **Reverse Engineering:**
  - ◆ **Creation of a model from code**
  - ◆ **Interface or reengineering projects**
  
- ❖ **Roundtrip Engineering:**
  - ◆ **Move constantly between forward and reverse engineering**
  - ◆ **Useful when requirements, technology and schedule are changing frequently**

# ***UML Summary***

- ❖ UML provides a wide variety of notations for representing many aspects of software development
  - ◆ **Powerful, but complex language**
  - ◆ **Can be misused to generate unreadable models**
  - ◆ **Can be misunderstood when using too many exotic features**
  
- ❖ For now concentrate on a few notations:
  - ◆ **Functional model: Use case diagram**
  - ◆ **Object model: class diagram**
  - ◆ **Dynamic model: sequence diagrams, statechart and activity diagrams**